

Kirby Jefferson
Form 5
February 05, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
Kirby Jefferson

(Last) (First) (Middle)

ALLEGHANY CORPORATION, 1411 BROADWAY, 34TH FLOOR

(Street)

NEW YORK, NY 10018

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ALLEGHANY CORP /DE [Y]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	06/04/2018		G ⁽¹⁾	17,775 A \$ 0	77,016	D	
Common Stock	10/16/2018		G ⁽¹⁾	8,887 A \$ 0	85,903	D	
Common Stock	06/04/2018		G ⁽¹⁾	118,510 D \$ 0	277,602	I	Held by trusts w. reporting

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Common Stock	10/16/2018	Â	<u>G⁽¹⁾</u>	59,253	D	\$ 0	218,349	I	person as co-trustee and beneficiary Held by trusts w. reporting person as co-trustee and beneficiary
Common Stock	06/04/2018	Â	<u>G⁽¹⁾</u>	11,850	A	\$ 0	11,850	I	Held by trust w. reporting person as co-trustee and beneficiaries as reporting person's descendants
Common Stock	10/16/2018	Â	<u>G⁽¹⁾</u>	5,925	A	\$ 0	17,775	I	Held by trust w. reporting person as co-trustee and beneficiaries as reporting person's descendants
Common Stock	06/04/2018	Â	<u>G⁽¹⁾</u>	14,224	A	\$ 0	14,224	I	Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children
Common Stock	07/17/2018	Â	<u>G⁽²⁾</u>	1,778	D	\$ 0	12,446	I	Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's

Common Stock	10/16/2018	Â	G ⁽¹⁾	7,112	A	\$ 0	19,558	I	children Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children Held by trusts w. reporting person as sole trustee and beneficiaries as reporting person's children
Common Stock	10/18/2018	Â	G ⁽²⁾	888	D	\$ 0	18,670	I	Held by trust w. reporting person as sole trustee and beneficiary Held by reporting person's spouse Held by reporting person's children sharing the same household
Common Stock	Â	Â	Â	Â	Â	Â	27,586	I	
Common Stock	Â	Â	Â	Â	Â	Â	19	I	
Common Stock	Â	Â	Â	Â	Â	Â	364	I	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying	8. Price of Derivative Security	9. of D
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Securities (Instr. 3 and 4)	(Instr. 5)	(Instr. 5)	(Instr. 5)
(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kirby Jefferson ALLEGHANY CORPORATION 1411 BROADWAY, 34TH FLOOR NEW YORK, NY 10018	X	X	X	X

Signatures

/s/ Stela Burghart,
Attorney-in-Fact

02/05/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Distribution by trust of which the reporting person is co-trustee to beneficiaries.
- (2) Distribution by trust of which the reporting person is sole trustee to beneficiaries.

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