

Edgar Filing: MORGAN GROUP HOLDING CO - Form 8-K

MORGAN GROUP HOLDING CO  
Form 8-K  
November 13, 2006

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d)  
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported) November 13, 2006  
-----

MORGAN GROUP HOLDING CO.  
-----

(Exact Name of Registrant as Specified in its Charter)

Delaware

333-73996

13-4196940  
-----

(State of other  
Jurisdiction of  
Incorporation)

(Commission File  
Number)

(IRS Employer  
Identification)

401 Theodore Fremd Avenue Rye, New York 10580  
-----

(Address of Principal Executive Offices) Zip Code

Registrant's Telephone Number, Including Area Code: 914-921-1877  
-----

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 DFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4 (c) under the Exchange Act (17 CFR 240.13e-4(c))