#### HEARTLAND FINANCIAL USA INC

Form 4 May 21, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

HEARTI AND FINANCIAL LISA

30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

**CONLAN JAMES F** 

1. Name and Address of Reporting Person \*

			INC [HTLF]				JSA	(Check all applicable)			
(Last) 1398 CENT	(Mon			Earliest Tay/Year)	ransaction			_X_ Director 10% Owner Officer (give title below) Other (specify below)			
				f Amendment, Date Original d(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
DUBUQUE						Form filed by More than One Reporting Person					
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	emed on Date, if /Day/Year)	3. Transacti Code (Instr. 8)		ed (A) of ed of (E), 4 and  (A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/19/2015			A	180	A	\$0	0 (1)	D (2)		
Common Stock	05/20/2015			A	1,000	A	\$0	4,524 (3)	D (2)		
Common Stock								225,602	I	Spouse's Trust (4)	
Common Stock								68,699.2983	I	Trust (5)	
Common Stock								68,699.2983	I	Trust (6)	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	٩
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Da	ate	Amou	nt of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date			Number		
						Exercisable			of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Panarting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

CONLAN JAMES F

1398 CENTRAL AVE. X

DUBUQUE, IA 52001

### **Signatures**

/s/ James F. Conlan 05/21/2015

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Multi line entry --- see next line for total
- (2) Shares held by James F. Conlan Trustee UA Dtd 4-13-04 James F. Conlan Trust.
- (3) Includes 1,180 shares of Restricted Stock Units (RSU) granted under the 2012 Long-Term Incentive Plan. These shares are subject to a one (1) year vesting schedule.
- (4) Shares held by Mary Fuller Conlan Trustee UA Dtd 4-13-04 Mary Fuller Conlan Trust.
- (5) Shares held by Mary L. Conlan Trustee UA Dtd 12-31-12 Bridget Fuller Conlan Trust.

Reporting Owners 2

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(6) Shares held by Mary L. Conlan Trustee UA Dtd 12-31-12 Camille Fuller Conlan Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.