

POHLY ROBERT
Form 4
May 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SAMLYN CAPITAL, LLC

(Last) (First) (Middle)

500 PARK AVENUE, 2ND FLOOR,

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Applied Minerals, Inc. [AMNL]

3. Date of Earliest Transaction
(Month/Day/Year)
04/30/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
--	---------------------------	--------------------------------------	-----------------------------------	---------------------	------------------------------------	--	---

Edgar Filing: POHLY ROBERT - Form 4

	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title
				(A)	(D)			
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.06	04/30/2018 ⁽¹⁾	A	259,027		<u>(1)</u>	04/29/2023	COMMON STOCK
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.06	04/30/2018 ⁽¹⁾	A	0		<u>(1)</u>	04/29/2023	COMMON STOCK
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.06	04/30/2018 ⁽²⁾	A	88,195		<u>(2)</u>	04/29/2023	COMMON STOCK
STOCK OPTION (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.06	04/30/2018 ⁽²⁾	A	0		<u>(2)</u>	04/29/2023	COMMON STOCK
WARRANT (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.1					<u>(3)</u>	12/14/2022	COMMON STOCK
WARRANT (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.1					<u>(3)</u>	12/14/2022	COMMON STOCK
WARRANT (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.1					<u>(3)</u>	12/14/2022	COMMON STOCK
WARRANT (RIGHT TO PURCHASE COMMON STOCK)	\$ 0.1					<u>(3)</u>	12/14/2022	COMMON STOCK

SERIES A CONVERTIBLE NOTE	\$ 0.4	(6)	05/01/2023	COMMON STOCK
SERIES A CONVERTIBLE NOTE	\$ 0.4	(6)	05/01/2023	COMMON STOCK
SERIES A CONVERTIBLE NOTE	\$ 0.4	(6)	05/01/2023	COMMON STOCK
SERIES A CONVERTIBLE NOTE	\$ 0.4	(6)	05/01/2023	COMMON STOCK

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022		X		
Samlyn Partners, LLC C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022		X		
SAMLYN ONSHORE FUND, LP C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022		X		
Samlyn Offshore Master Fund, Ltd. C/O INTERTRUST CORP SVCS (CAYMAN) LTD 190 ELGIN AVENUE, GEORGE TOWN GRAND CAYMAN, E9 KY1-9007		X		
POHLY ROBERT C/O SAMLYN CAPITAL, LLC 500 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10022		X		

Signatures

Samlyn Capital, LLC, By: /s/ Robert Pohly, Managing Member	05/02/2018
__Signature of Reporting Person	Date
Samlyn Partners, LLC, By: /s/ Robert Pohly, Managing Member	05/02/2018
__Signature of Reporting Person	Date
Samlyn Onshore Fund, LP, By: /s/ Robert Pohly, Managing Member	05/02/2018

