Edgar Filing: KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. - Form 3

KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC.

Form 3

February 16, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement KAYNE ANDERSON MIDSTREAM/ENERGY FUND, Voya Financial, Inc. (Month/Day/Year) INC. [KMF] 03/03/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 230 PARK AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director _X__ 10% Owner Form filed by One Reporting Officer Other Person NEW YORK, NYÂ 10169 (give title below) (specify below) _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Series B Mandatory Redeemable Preferred 200,000 $I^{(1)}$ By Subsidiary Shares Series C Mandatory Redeemable Preferred 400,000 I (1) By Subsidiary Shares Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and Expiration Date (Month/Day/Year) 2. Date Exercisable and Securities Underlying Conversion Ownership Beneficial Ownership

Edgar Filing: KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. - Form 3

		Derivative S	ecurity	or Exercise	Form of	(Instr. 5)
		(Instr. 4)		Price of	Derivative	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative	Security:	
				Security	Direct (D)	
					or Indirect	
					(I)	
					(Instr 5)	

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Voya Financial, Inc. 230 PARK AVENUE NEW YORK, NY 10169	Â	ÂX	Â	Â	
Lion Connecticut Holdings Inc. ONE ORANGE WAY WINDSOR Â CTÂ 06095	Â	ÂX	Â	Â	

Signatures

/s/ Trevor Ogle, SVP & Deputy General Counsel, Voya
Financial, Inc.

**Signature of Reporting Person

Date

/s/ Megan A. Huddleston, SVP & Secretary, Voya Holdings Inc.

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities reported herein are directly beneficially owned by Voya Retirement Insurance and Annuity Company, Voya Insurance and Annuity Company, ReliaStar Life Insurance Company, ReliaStar Life Insurance Company of New York, Security Life of Denver

(1) Insurance Company, and Voya Investment Management, LLC, each a direct or indirect subsidiary of Voya Financial, Inc. and/or Voya Holdings, Inc. Voya Holdings, Inc. is a direct subsidiary of Voya Financial, Inc. Voya Financial, Inc. is the indirect beneficial owner of the securities reported herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2