

CHART INDUSTRIES INC
Form SD
May 31, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM SD

SPECIALIZED DISCLOSURE REPORT
CHART INDUSTRIES, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-11442 34-1712937

(State or other jurisdiction (Commission (IRS Employer
of incorporation) File Number) Identification No.)

One
Infinity
Corporate
Centre
Drive,
Suite 300,
Garfield
Heights,
Ohio,
44125

(Address
of
principal
executive
offices,
including
zip code)

Stephen D. Klinge
(216- 626-2058)
(Name and telephone number, including area code, of the person to contact in connection with this report)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:
 Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

Section 1 — Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

Reasonable Country of Origin Inquiry

We conducted an analysis of our products and found that cassiterite, columbite-tantalite, gold, wolframite, and their derivatives, which are limited to tin, tantalum, tungsten, and gold (“3TG”) are contained in some of our products or components used in our products. Therefore, for the reporting period from January 1 to December 31, 2016, we conducted a reasonable country of origin inquiry (“RCOI”) which was reasonably designed to determine whether any of the necessary 3TG contained in our products originated or may have originated in the Democratic Republic of the Congo or an adjoining country or are from recycled or scrap resources.

To conduct our RCOI, we engaged with our suppliers and made inquiries to those suppliers about the country of origin of those necessary 3TG minerals. In our supplier engagement, we requested information from our suppliers about their supply chain and about the country of origin of 3TG which they supplied to us. Because we do not have direct relationships with smelters or refiners in our supply chain, we asked our suppliers to engage with their own suppliers to gather the requested information.

The description of our RCOI process and the results of our inquiry are included in our Conflict Minerals Report, attached as Exhibit 1.01 to this Form SD. Our Conflict Minerals Report for the calendar year ended December 31, 2016, is also publicly available at <http://ir.chartindustries.com/>.

Item 1.02 Exhibit

See Exhibit 1.01 to this Form SD, incorporated herein by reference.

Section 2 — Exhibits

Item 2.01 Exhibits

Exhibit 1.01 Conflict Minerals Report

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

Chart Industries, Inc.

Date: May 31, 2017

/s/ Robert H. Wolfe

By: Robert H. Wolfe

Vice President, General Counsel and Secretary

Exhibit Index

Exhibit Number Description of Exhibit

1.01 Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form SD