

II-VI INC  
 Form 3  
 November 13, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |   |  |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person *         |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol  |  |
| Â BASHAW WALTER<br>ROBERT II                      |         | (Month/Day/Year)<br>11/06/2015       | II-VI INC [IIVI]  |  |
| (Last)  | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer  | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| C/O II-VI<br>INCORPORATED,Â 375<br>SAXONBURG BLVD |         |                                      | (Check all applicable)  |  |
| (Street)  |         |                                      | <input type="checkbox"/> Director   | <input type="checkbox"/> 10% Owner                   |
| SAXONBURG,Â PAÂ 16056                             |         |                                      | <input checked="" type="checkbox"/> Officer   | <input type="checkbox"/> Other                       |
| (City)  | (State) | (Zip)                                | (give title below) (specify below)<br>General Counsel   |  |
|   |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 15,975 <sup>(1)</sup>                                    | D   | Â  |
| Common Stock                       | 1,400  | I   | By Spouse  |
| Common Stock                       | 40   | I   | By Daughter  |
| Common Stock                       | 20   | I   | By Son   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: II-VI INC - Form 3

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br><small>(Month/Day/Year)</small> |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|---|---|--------------------|--|----------------------------------|--|--|---|
|   | Date<br>Exercisable   | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |  |  |   |
| Option (Right to buy)                         | Â (2)   | 11/07/2025         | Common<br>Stock  | 24,300                           | \$ 18.73   | D  | Â   |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| BASHAW WALTER ROBERT II<br>C/O II-VI INCORPORATED<br>375 SAXONBURG BLVD<br>SAXONBURG, PA 16056 | Â             | Â         | Â General Counsel | Â     |

## Signatures

/s/ Walter Robert  
Bashaw II

11/13/2015

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 12,150 shares of restricted stock granted to the reporting person under the Issuer's Second Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in full three years from the grant date on November 7, 2018.
- (2) The option vests in 5 equal annual installments beginning on November 7, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.