

KING ROBERT F  
Form 4  
January 19, 2018

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KING ROBERT F

(Last) (First) (Middle)

C/O AMTECH SYSTEMS INC, 131  
SOUTH CLARK DRIVE

(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction (Month/Day/Year)

01/18/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                   | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |   |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|
|   |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |   |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018                           |  | M <sup>(1)</sup>               |   | 2,000   | A  | \$ 2.95 10,200                    | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018                           |  | M <sup>(1)</sup>               |   | 1,666   | A  | \$ 6.15 11,866                    | D |

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|   |            |                         |        |   |          |        |   |
|---|------------|-------------------------|--------|---|----------|--------|---|
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 2,000  | A | \$ 7.01  | 13,866 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 5,000  | A | \$ 11.05 | 18,866 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 1,033  | A | \$ 7.98  | 19,899 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 2,000  | A | \$ 9.98  | 21,899 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 2,000  | A | \$ 5.25  | 23,899 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>M</u> <sup>(1)</sup> | 2,000  | A | \$ 5.75  | 25,899 | D |
| Amtech Systems, Inc. \$.01 par value common stock | 01/18/2018 | <u>S</u> <sup>(1)</sup> | 17,699 | D | \$ 11.25 | 8,200  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)              | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|---|--|--------------------------------------|--|--------------------------------|---|--|-----|---|----------------------------|
|   |  |                                      |  | Code                           | V   | (A)  | (D) | Title   | Amount or Number of Shares |
| Amtech Systems, Inc. Non-Employee Director Stock Option | \$ 2.95  | 01/18/2018                           |  | M                              |   | 2,000  |     | Amtech Systems, Inc. \$.01 par value common stock             | 2,000                      |
| Amtech Systems, Inc. Non-Employee Director Stock Option | \$ 6.15  | 01/18/2018                           |  | M                              |   | 1,666  |     | Amtech Systems, Inc. \$.01 par value common stock             | 1,666                      |
| Amtech Systems, Inc. Non-Employee Director Stock Option | \$ 7.01  | 01/18/2018                           |  | M                              |   | 2,000  |     | Amtech Systems, Inc. \$.01 par value common stock             | 2,000                      |
| Amtech Systems, Inc. Non-Employee Director Stock Option | \$ 11.05   | 01/18/2018                           |  | M                              |   | 5,000  |     | Amtech Systems, Inc. \$.01 par value common stock             | 5,000                      |
| Amtech Systems, Inc. Non-Employee Director Stock Option | \$ 7.98  | 01/18/2018                           |  | M                              |   | 1,033  |     | Amtech Systems, Inc. \$.01 par value common stock             | 1,033                      |
|   | \$ 9.98  | 01/18/2018                           |  | M                              |   | 2,000  |     |   | 2,000                      |

Amtech  
Systems, Inc.  
Non-Employee  
Director Stock  
Option

Amtech  
Systems,  
Inc. \$.01  
par value  
common  
stock

Amtech  
Systems, Inc.  
Non-Employee  
Director Stock  
Option

\$ 5.25

01/18/2018

M

2,000

11/17/2016

11/17/2025

Amtech  
Systems,  
Inc. \$.01  
par value  
common  
stock

Amtech  
Systems, Inc.  
Non-Employee  
Director Stock  
Option

\$ 5.75

01/18/2018

M

2,000

09/18/2017

03/17/2027

Amtech  
Systems,  
Inc. \$.01  
par value  
common  
stock

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| KING ROBERT F<br>C/O AMTECH SYSTEMS INC<br>131 SOUTH CLARK DRIVE<br>TEMPE, AZ 85281 | X             |           |         |       |

## Signatures

Robert T. Hass on behalf of Bob King by Power of  
Attorney

01/19/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option exercise and sales are pursuant to a previously disclosed 10b5-1 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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