

ATOSSA GENETICS INC

Form 3

May 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Carbonaro Peter J.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/06/2014

3. Issuer Name and Ticker or Trading Symbol
ATOSSA GENETICS INC [ATOS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Sr. Vice President, Operations

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O ATOSSA GENETICS
INC.,Â 1616 EASTLAKE AVE.
EAST, SUITE 510

(Street)

SEATTLE,Â WAÂ 98102

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (1)	06/03/2023	Common Stock	87,000	\$ 4.58	D	Â
Stock Option (right to buy)	Â (1)	06/03/2023	Common Stock	163,000	\$ 4.58	D	Â
Stock Option (right to buy)	Â (2)	01/08/2024	Common Stock	62,500	\$ 2.2	D	Â
Stock Option (right to buy)	Â (2)	05/06/2024	Common Stock	45,000	\$ 1.22	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Carbonaro Peter J. C/O ATOSSA GENETICS INC. 1616 EASTLAKE AVE. EAST, SUITE 510 SEATTLE, WA 98102	Â	Â	Â Sr. Vice President, Operations	Â	

Signatures

/s/ Peter J. Carbonaro 05/08/2014

Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option shall vest on a quarterly basis over four years. The option shall vest with respect to one-quarter of the underlying shares on the (1) first anniversary of the grant date and then vest with respect to 1/16th of the underlying shares quarterly thereafter so that the option is fully vested and exercisable on the fourth anniversary of the grant date.

(2) The option shall vest on a quarterly basis over the next four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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