Stark Juergen M. Form 4 January 18, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number: January 31, Expires:

2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Stark Juergen M.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Executive Officer & Pres

Issuer

below)

(First) (Last)

(Middle)

(Zip)

Turtle Beach Corp [HEAR] 3. Date of Earliest Transaction

\_X\_\_ Director

10% Owner X\_ Officer (give title Other (specify

C/O TURTLE BEACH CORPORATION, 11011 VIA FRONTERA, SUITE A/B

(Street)

(State)

01/15/2019

4. If Amendment, Date Original

(Month/Day/Year)

01/15/2019

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN DIEGO, CA 92127

(City)

Stock

						-	· · · · · · ·	ľ	•
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transacti	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
		•					Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	,		
C						\$			
Common	01/15/0010		_	0.001	-	15.07	1.50,004	ъ	

2,331

D

15.97

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

159,084

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Stark Juergen M. - Form 4

8. Pri Deriv Secur

(Instr

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 7.72					<u>(2)</u>	09/03/2022	Common Stock	365,912	
Stock Option (Right to Buy)	\$ 7.24					(3)	05/29/2025	Common Stock	70,000	
Stock Option (Right to Buy)	\$ 4.64					<u>(4)</u>	04/04/2026	Common Stock	112,500	
Stock Option (Right to Buy)	\$ 2.04					<u>(5)</u>	11/13/2027	Common Stock	112,500	
Stock Option (Right to Buy)	\$ 3.12					<u>(6)</u>	04/11/2028	Common Stock	112,500	

# **Reporting Owners**

Reporting Owner Name / Address		Director	Other	
Stark Juergen M. C/O TURTLE BEACH CORPORATION 11011 VIA FRONTERA, SUITE A/B SAN DIEGO, CA 92127		X	Chief Executive Officer & Pres	
Signatures				
/s/ Juergen Stark	01/18/2019			
**Signature of Reporting Person	Date			

Reporting Owners 2

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon the vesting of the shares of restricted stock awarded to the Reporting Person.
- (2) All shares were exercisable as of the transaction date.
- (3) These shares are scheduled to vest in equal monthly installments until May 29, 2019.
- (4) These shares are scheduled to vest in equal monthly installments until April 4, 2020.
- (5) These shares are scheduled to vest in equal monthly installments until November 13, 2021.
- (6) One-quarter of the underlying shares will vest on April 11, 2019, with the remainder vesting with respect to 1/48 of the underlying shares each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.