Edgar Filing: UNIVERSAL SECURITY INSTRUMENTS INC - Form 4

UNIVERSAL SECURITY INSTRUMENTS INC

Form 4

November 08, 2013

FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549				
Check this box					
if no longer subject to	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF				

OMB APPROVAL

OMB 3235-0287 Number: January 31,

2005 Estimated average

__X__ 10% Owner

0.5

burden hours per

response...

Expires:

Form 5 obligations may continue. See Instruction

Section 16.

Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * North Star Micro Cap Fund

80 ARKAY DRIVE, SUITE 110

(Street)

(Ctata)

2. Issuer Name and Ticker or Trading

Symbol

UNIVERSAL SECURITY INSTRUMENTS INC [uuu] 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

Inc.

(First) (Middle)

(7:m)

3. Date of Earliest Transaction

(Month/Day/Year)

09/27/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

Officer (give title ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAUPPAUGE, NY 11788

(City)	(State) (Zi	^{p)} Table I	- Non-Deri	ivative Sec	curities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transaction		ties Acquired isposed of	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial
(msu. 3)		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5) (A) or	Owned Following Reported Transaction(s)	(D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Universal			Code V	Amount	(D) Price	(Instr. 3 and 4)		
Security Instruments,	11/05/2013		P	100	A \$ 4.75	241,625	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: UNIVERSAL SECURITY INSTRUMENTS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	7. Title a Amount of Underlying Securities (Instr. 3 a	of ng s	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	or Title Nu of	umber		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
North Star Micro Cap Fund 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788		X					

Signatures

Melissa
Diamond

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2