

BROWN FORMAN CORP  
Form 4  
June 14, 2017

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * <b>Brown Stuart R</b>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>BROWN FORMAN CORP [BFA, BFB]</b>	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <b>12/21/2015</b>	<input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)
850 DIXIE HIGHWAY  (Street)			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
LOUISVILLE, KY 40210  (City) (State) (Zip)				

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction or Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Beneficial or Indirect (Instr. 4)
			Code	V	Amount	(A) or (D)			
Class A Common	01/07/2016		G		265	A	\$ 0	156,228	D
Class A Common	01/08/2016		G		4	A	\$ 0	156,232	D
Class A Common	08/11/2016		G	V	51,135	A	\$ 0	414,734 <sup>(1)</sup>	D
Class A Common	01/12/2017		G	V	602	A	\$ 0	415,336	D
Class A Common	02/15/2017		G	V	2,300	D	\$ 0	413,036	D

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Class A Common	01/07/2016	G	265	A	\$ 0	1,076	I	Child-1 account
Class A Common	01/08/2016	G	4	A	\$ 0	1,080	I	Child-1 account
Class A Common	01/12/2017	G	V 602	A	\$ 0	2,762 <sup>(2)</sup>	I	Child-1 account
Class A Common	02/15/2017	G	V 575	A	\$ 0	3,337	I	Child-1 account
Class A Common	05/24/2016	J	114,151	A	\$ 0	228,302 <sup>(3)</sup>	I	SKCJ Investments LLC
Class A Common	01/12/2017	G	V 602	A	\$ 0	602	I	Child-2 account
Class A Common	02/15/2017	G	V 575	A	\$ 0	1,177	I	Child-2 account
Class B Common	08/11/2016	G	V 72,684	A	\$ 0	207,680 <sup>(4)</sup>	D	
Class B Common	12/21/2015	G	270	A	\$ 0	3,115	I	By Spouse
Class B Common	12/24/2015	G	6	A	\$ 0	3,121	I	By Spouse
Class B Common	12/16/2016	G	V 309	A	\$ 0	6,551 <sup>(5)</sup>	I	By Spouse
Class B Common	12/22/2016	G	V 307	A	\$ 0	6,858	I	By Spouse
Class B Common	04/12/2016	S	150	D	\$ 95.76	9,918 <sup>(6)</sup>	I	2015 Trust
Class B Common	05/24/2016	J	27,657	A	\$ 0	55,314 <sup>(7)</sup>	I	SKCJ Investments LLC
Class A Common						40,878 <sup>(8)</sup>	I	2015 Trust
Class A Common						36,104 <sup>(9)</sup>	I	Hedge Street Partners LLC
Class B Common						9,024 <sup>(10)</sup>	I	Hedge Street Partners LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(10) Reflects the acquisition of 4,512 additional shares in the August 2016 stock split.

**Remarks:**

The reporting person disclaims beneficial ownership of shares held by entities set forth on this form except to the extent of his

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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