

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-A

July 30, 2014

PAGE 1  
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001 C000000 6307658000  
002 A000000 120 E. Liberty Drive, Suite 400  
002 B000000 Wheaton  
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008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC  
008 B000002 S  
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008 D010002 Saint Louis  
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008 D030002 63119  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
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011 A000001 A.G. EDWARDS & SONS INC.  
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PAGE 2  
013 B010001 CHICAGO  
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015 A000001 THE BANK OF NEW YORK MELLON  
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SIGNATURE	JAMES M. DYKAS	
TITLE	TREASURER	