## Edgar Filing: DUPONT E I DE NEMOURS & CO - Form 4

DUPONT E I DE NEMOURS & CO Form 4 April 03, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SAGER THOMAS L Issuer Symbol DUPONT E I DE NEMOURS & CO (Check all applicable) [DD] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) D-7038, 1007 MARKET STREET 04/02/2014 Sr. VP and General Counsel (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WILMINGTON, DE 19898 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Ownership (Month/Day/Year) Owned Direct (D) (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 63,099.294 S 04/02/2014  $M^{(1)}$ 17,530 А D Stock (2) 51.78 Common 45,569.294 S(1) 04/02/2014 17,530 D \$68 D Stock (2) Custodial Common 72 I accounts for Stock children DuPont Common Retirement 338.1593 I Stock Savings Plan

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| Common<br>Stock  |   |   |   |  |  | 88     | 6.8264     | Ι  | Re<br>Sa  | Pont<br>tirement<br>vings<br>storation<br>in |                              |
|--|---|---|---|--|--|--------|------------|--|---|--|------------------------------|
| Reminder: Report on a separate line for each class of securities benefic   |   |   |   |  | cially owned directly or indirect<br>Persons who respond to<br>information contained in<br>required to respond unle<br>displays a currently valid<br>number. |        |            | o the collection of SE<br>n this form are not<br>less the form |   | C 1474<br>(9-02)                             |                              |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |   |   |   |  |  |        |            |  |   |  |                              |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of 6. Date ExercisionDerivative Expiration Dat<br>Securities (Month/Day/Y)<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5)     |        | Date       |  | d 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |  |                              |
|  |   |   |   | Code V                                 | (A)  | (D)    | Date Exerc | isable   | Expiration<br>Date  | Title  | Amou<br>or<br>Numb<br>of Sha |
| Employee<br>Stock<br>Options<br>(right to<br>buy)  | \$ 51.78  | 04/02/2014                              |   | M <u>(3)</u>                           |  | 17,530 | 02/06/20   | 13 <u>(4)</u>  | 02/05/201   | 9 Common<br>Stock                            | 17,5                         |
| Reporting Owners   |   |   |   |  |  |        |            |  |   |  |                              |
|  |   |   | R   | elationship                            | DS   |        |            |  |   |  |                              |

|  | <b>Reporting Owner Name / Address</b>                                  |            |           |                            |       |
|--|--|------------|-----------|----------------------------|-------|
|  |  | Director   | 10% Owner | Officer                    | Other |
|  | SAGER THOMAS L<br>D-7038<br>1007 MARKET STREET<br>WILMINGTON, DE 19898 |            |           | Sr. VP and General Counsel |       |
| Signatures                             |  |            |           |                            |       |
| Erik T. Hoover by Power of<br>Attorney |  | 04/03/2014 |           |                            |       |
|  | **Signature of Reporting Person  |            | Date      |                            |       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The acquisition and sale reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person effective February 10, 2014.
- (2) Includes direct ownership, unvested RSUs and vested deferred stock units.
- (3) The disposition reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person effective February 10, 2014.
- (4) Options become exercisable in three equal annual installments beginning on the first anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.