

CROCE MICHAEL  
Form 4  
February 21, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CROCE MICHAEL

(Last) (First) (Middle)

PO BOX 32890

(Street)

LOUISVILLE, KY 40232

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction  
(Month/Day/Year)

02/19/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            |       | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price |                                                                                               |                                                          |                                                       |
| Common Stock                    |                                      |                                                    |                                |                                                                   |        |            |       | 6,806                                                                                         | I                                                        | by 401k/ESOP-fbo Michael Croce                        |
| Common Stock                    | 02/19/2019                           |                                                    | F                              | 78                                                                | D      | \$ 36.65   |       | 10,222.8658 (1)                                                                               | D                                                        |                                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                        |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|------------------------------------------------------------------|--------------------|-----------------|----------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                               | (A)                                                            | (D) | Date<br>Exercisable                                              | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Appreciation<br>Right                      | \$ 35.9                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 02/20/2019                                                       | 02/20/2028         | Common<br>Stock | 3,16                                   |
| Stock<br>Appreciation<br>Right                      | \$ 14.76                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 02/17/2010                                                       | 02/17/2019         | Common<br>Stock | 2,25                                   |
| Stock<br>Appreciation<br>Right                      | \$ 14.02                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 02/16/2011                                                       | 02/16/2020         | Common<br>Stock | 2,25                                   |
| Stock<br>Appreciation<br>Right                      | \$ 15.84                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 03/15/2012                                                       | 03/15/2021         | Common<br>Stock | 1,50                                   |
| Stock<br>Appreciation<br>Right                      | \$ 15.24                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 02/20/2013                                                       | 02/20/2022         | Common<br>Stock | 1,50                                   |
| Stock<br>Appreciation<br>Right                      | \$ 19.44                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 06/24/2015                                                       | 06/24/2024         | Common<br>Stock | 3,24                                   |
| Stock<br>Appreciation<br>Right                      | \$ 22.96                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 03/17/2016                                                       | 03/17/2025         | Common<br>Stock | 4,23                                   |
| Stock<br>Appreciation<br>Right                      | \$ 25.76                                                              |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 03/15/2017                                                       | 03/15/2026         | Common<br>Stock | 5,97                                   |
| Stock<br>Appreciation<br>Right                      | \$ 40                                                                 |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 03/21/2018                                                       | 03/21/2027         | Common<br>Stock | 3,22                                   |
| Stock<br>Appreciation<br>Right                      | \$ 36.65                                                              | 02/19/2019                              |                                                             | A                                       | 3,226                                                                                                           |                                                                |     | 02/19/2020                                                       | 02/19/2029         | Common<br>Stock | 3,22                                   |

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |                          |       |
|-------------------------------------------------------|---------------|-----------|--------------------------|-------|
|                                                       | Director      | 10% Owner | Officer                  | Other |
| CROCE MICHAEL<br>PO BOX 32890<br>LOUISVILLE, KY 40232 |               |           | Executive Vice President |       |

## Signatures

|                                                    |                     |
|----------------------------------------------------|---------------------|
| /s/ Michael<br>Croce                               | 02/21/2019          |
| <small>**Signature of<br/>Reporting Person</small> | <small>Date</small> |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

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