Hillebrand James A Form 4 January 03, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Issuer

\_X\_\_ Director

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction

(Month/Day/Year)

Symbol

See Instruction

(Middle)

1(b).

(Last)

(Print or Type Responses)

Hillebrand James A

1. Name and Address of Reporting Person \*

(First)

13308 LONGWOOD LA	`	1/2017	X Officer (give title Other (specify below)  President			
(Street)		mendment, Date Original Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
GOSHEN, KY 40026			Form filed by More than One Reporting Person			
(City) (State)	(Zip) T	able I - Non-Derivative Securities	Acquired, Dispo	sed of, or Be	neficially Owned	
1.Title of Security (Month/Day/Your Common 12/27/2017	Date 2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or Code V Amount (D) Pric	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Stock Common Stock		G , 212 D <u>a</u>	425.277 (2)	I	Trust-Directors' Deferred Comp Plan	
Common Stock			20,620	I	KSOP-fbo James Hillebrand	
Common Stock			11,634	I	IRA-fbo Lynn Hillebrand	

#### Edgar Filing: Hillebrand James A - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	14,428
Stock Appreciation Right	\$ 14.02					02/16/2011	02/16/2020	Common Stock	13,500
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	10,968
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	19,600
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	28,054
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	16,425
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	12,319
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	7,571

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hillebrand James A

13308 LONGWOOD LANE X President

GOSHEN, KY 40026

# **Signatures**

/s/ James A.
Hillebrand
01/03/2018

\*\*Signature of Reporting Date
Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted Shares to Charitable Organization
- (2) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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