#### Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4

#### NATURAL HEALTH TRENDS CORP

Form 4

August 10, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

3235-0287 Number:

> January 31, 2005

> > 0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires:

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BROADY GEORGE K** 

2. Issuer Name and Ticker or Trading

Symbol

NATURAL HEALTH TRENDS CORP [NHTC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Director Officer (give title below)

X 10% Owner Other (specify

C/O NATURAL HEALTH TRENDS 08/06/2015 CORP., 4514 COLE AVE., SUITE

1400

Stock

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

DALLAS, TX 75205

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership

(Instr. 3) (Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

Amount

Beneficially Owned (D) or Following Indirect (I) Reported (Instr. 4)

Form: Direct Beneficial Ownership (Instr. 4)

(A) Transaction(s) (Instr. 3 and 4)

Price

(D)

Common 06/02/2015 \$0 D G 10,000 D 676,886

Code

Common 08/06/2015 D 47.112 D 629,774 (2) D Stock

Common I  $(1)^{(1)}$ 2,908,423 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and                                  | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|--|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | nt of                                  | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying                                  | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securi  | ties                                   | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. | 3 and 4)                               |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |         |  |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |         |  |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |  |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |  |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |  |             |        |
|             |             |                     |                    |            |            |               |             |         | Amaunt                                 |             |        |
|             |             |                     |                    |            |            |               |             |         |  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |         |  |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |         |  |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         |  |             |        |
|             |             |                     |                    | Code V     | 4, and 5)  |               | •           | Title   | Amount<br>or<br>Number<br>of<br>Shares |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| BROADY GEORGE K<br>C/O NATURAL HEALTH TRENDS CORP.<br>4514 COLE AVE., SUITE 1400<br>DALLAS, TX 75205 | X             | X         |         |       |  |  |
| AT I   |               |           |         |       |  |  |

### **Signatures**

/s/ Timothy S. Davidson by Power of Attorney

08/10/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- It has come to the attention of the reporting person that prior filings have incorrectly disclosed the allocation of ownership of shares between George K. Broady, the George K. Broady 2012 Irrevocable Trust and another family trust. Further analysis is required to determine the correct beneficial ownership reporting for the indirectly-owned shares. It is expected that appropriate correcting filings will be made in due course.
- These shares were sold to Natural Health Trends Corp. (the "Company") under the Stock Repurchase Agreement dated July 28, 2015 between George K. Broady and the Company. With the purchase of these shares, the Company has satisfied its stock repurchase obligations under both the Stock Repurchase Agreement with Mr. Broady and a Rule 10b5-1 Issuer Repurchase Plan with its broker, having expended approximately \$5.0 million.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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