MidWestOne Financial Group, Inc.

Form 4

January 22, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

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obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading POTHOVEN JOHN P Issuer Symbol MidWestOne Financial Group, Inc. (Check all applicable) [MOFG] (Middle) (Last) (First) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) 102 S. CLINTON STREET, P.O. 01/18/2014 **BOX 1700** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting IOWA CITY, IA 52244-1700

(City)	(State)	(Zip) Table	e I - Non-D	<b>Derivative</b>	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock			Code V	Amount	(D)	Price	27,754	D	
Common Stock							51,205	I	By IRA
Common Stock	01/18/2014		F(1)	35	D	\$ 25.58	3,137	I	By Spouse
Common Stock	01/21/2014		F(2)	97	D	\$ 25.63	3,040	I	By Spouse
Common Stock	01/21/2014		M	500	A	\$ 16.69	3,540	Ι	By Spouse

#### Edgar Filing: MidWestOne Financial Group, Inc. - Form 4

1,233 <u>(3)</u> I	By Spouse's ESOP				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of					
information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	(9-02)				
	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control				

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 9.34					01/22/2010	01/22/2019	Common Stock	500
Stock Option (Right to Buy)	\$ 16.69	01/21/2014		M	500	04/01/2009	04/01/2018	Common Stock	500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>FB</b>	Director	10% Owner	Officer	Other		
POTHOVEN JOHN P 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	X					

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## **Signatures**

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

01/22/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 18, 2014.
- (2) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 21, 2014.
- (3) Shares held in the MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as of December 31, 2013. Shares increased by 7 shares since the date of the reporting person's last filing due to additional shares allocated to the reporting person's ESOP account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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