MidWestOne Financial Group, Inc.

Form 4

November 30, 2012

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

Estimated average

response...

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HOWARD CHARLES S** 

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Symbol

MidWestOne Financial Group, Inc.

(Check all applicable)

5. Relationship of Reporting Person(s) to

[MOFG]

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year) 11/29/2012

\_X\_\_ Director Officer (give title

10% Owner Other (specify

102 S. CLINTON STREET, P.O. **BOX 1700** 

(Street)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

IOWA CITY, IA 52244-1700

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	esed of 4 and (A) or	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/29/2012		S	1,100	D	\$ 19.934	91,691	D	
Common Stock	11/29/2012		S	13	D	\$ 19.94	91,678	D	
Common Stock	11/29/2012		S	2	D	\$ 19.995	91,676	D	
Common Stock	11/29/2012		S	35	D	\$ 19.93	91,641	D	
Common Stock	11/29/2012		S	100	D	\$ 19.9301	91,541	D	

### Edgar Filing: MidWestOne Financial Group, Inc. - Form 4

Common Stock	11/30/2012	S	1,150	D	\$ 19.9101	90,391	D	
Common Stock	11/30/2012	S	100	D	\$ 19.91	90,291	D	
Common Stock						75,800	I	By Spouse
Common Stock						27,674 (1)	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

8. Price Derivat Securit (Instr. 5

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercis	sable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 16.86					<u>(2</u>	2)	12/31/2012	Common Stock	6,650
Stock Option	\$ 19.75					<u>(2</u>	2)	12/31/2013	Common Stock	6,982
Stock Option	\$ 21.94					<u>(2</u>	2)	04/21/2014	Common Stock	6,649
Stock Option	\$ 18.71					(2	2)	04/21/2014	Common Stock	2,849

# **Reporting Owners**

Reporting Owner Name / Address	Keiationships							
	Director	10% Owner	Officer	Other				

Reporting Owners 2 HOWARD CHARLES S 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700

## **Signatures**

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

11/30/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Shares held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to the reporting person's account as of
- (1) November 29, 2012. Reporting person's ownership of shares has decreased by 9,088 since his last report due to shares sold as part of a five year plan begun in 2011 to distribute cash to an IRA. Additional distributions are expected to occur in years 2013 through 2015.
- (2) Fully vested

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3