

Firsthand Technology Value Fund, Inc.  
Form 8-K  
November 09, 2018

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

**Date of Report: November 9, 2018**  
(Date of earliest event reported)

**Firsthand Technology Value Fund, Inc.**  
(Exact name of registrant as specified in its charter)

<b>Maryland</b> (State or other jurisdiction of incorporation)	<b>814-00830</b> (Commission File Number)	<b>27-3008946</b> (IRS Employer Identification Number)
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**150 Almaden Blvd., Suite 1250**

**San Jose, CA**  
(Address of principal executive offices)

**95113**  
(Zip Code)

**(800) 976-8776**  
(Registrant's telephone number, including area code)

**Not Applicable**  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

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- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 8.01. Other Events**

On November 9, 2018, Firsthand Technology Value Fund, Inc. (the “Company”) issued a press release announcing the Company’s Q3 2018 financial results, which is available on the Company’s website at [www.firsthandtvf.com](http://www.firsthandtvf.com). The Company's press release issued November 9, 2018 is attached as Exhibit 99.1.

**Item 9.01. Financial Statements and Exhibits**

**(a) Financial statements:**

None

**(b) Pro forma financial information:**

None

**(c) Shell company transactions:**

None

**(d) Exhibits**

99.1 Press Release dated November 9, 2018.

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: November 9, 2018

**FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.**

By: /s/ Kevin Landis  
Kevin Landis  
President

**Exhibit Index**

**Exhibit No. Description**

99.1 Press release dated November 9, 2018