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HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B/A

September 20, 2011

PAGE 1
000 B000000 06/30/2011
000 C000000 0000880406
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 Y
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.
001 B000000 811-6445
001 C000000 3052711900
002 A000000 PO BOX 161465
002 B000000 MIAMI
002 C000000 FL
002 D010000 33116
002 D020000 1465
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HERZFELD/CUBA
008 B000001 A
008 C000001 801-20866
008 D010001 MIAMI
008 D020001 FL
008 D030001 33116
011 A000001 THOMAS J. HERZFELD & CO., INC.
011 B000001 8-25933
011 C010001 MIAMI
011 C020001 FL
011 C030001 33116
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-XXXX
012 C010001 BOSTON
012 C020001 MA
012 C030001 02111
013 A000001 ROTHSTEIN KASS & COMPANY, P.C.
013 B010001 ROSELAND
PAGE 2
013 B020001 NJ
013 B030001 07068
014 A000001 THOMAS J. HERZFELD & CO., INC.
014 B000001 8-25933
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C

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015 C010001 BOSTON
015 C020001 MA
015 C030001 02111
015 E010001 X
018 000000 Y
019 A000000 N
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020 A000001 THOMAS J. HERZFELD & CO., INC.
020 B000001 59-2053807
020 C000001 4
020 A000002 CARTER TERRY & COMPANY
020 B000002 58-1608676
020 C000002 3
020 A000003 CHARLES SCHWAB
020 B000003 94-1737782
020 C000003 4
020 C000004 0
020 C000005 0
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020 C000009 0
020 C000010 0
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023 C000000 0
PAGE 3
023 D000000 0
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025 D000005 0
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025 D000008 0
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 Y

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| | | | |
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| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
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| 028 | A030000 | | 0 |
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| 028 | E030000 | | 0 |
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| 028 | G040000 | | 0 |
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| 047 | 000000 | Y | |
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| 048 | B010000 | | 0 |
| 048 | B020000 | 0.000 | |

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| | | |
|-----|---------|-------|
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| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
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| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
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| 048 | K020000 | 0.000 |
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| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| | PAGE | 5 |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | N |
| 055 | B000000 | N |
| 056 | 000000 | Y |
| 057 | 000000 | N |
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| 059 | 000000 | Y |
| 060 | A000000 | N |
| 060 | B000000 | N |
| 061 | 000000 | 0 |
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| 062 | B000000 | 0.0 |
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| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| 062 | N000000 | 0.0 |

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| | | |
|-----|---------|-------|
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| 063 | B000000 | 0.0 |
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| 066 | B000000 | N |
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| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | N |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| | PAGE | 6 |
| 068 | B000000 | N |
| 069 | 000000 | N |
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| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | N |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
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| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | N |
| 070 | P010000 | N |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
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| 071 | B000000 | 6367 |
| 071 | C000000 | 26191 |
| 071 | D000000 | 22 |
| 072 | A000000 | 12 |
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| 072 | C000000 | 514 |
| 072 | D000000 | 0 |

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| | |
|-------------|-----|
| 072 E000000 | 0 |
| 072 F000000 | 404 |
| 072 G000000 | 0 |
| 072 H000000 | 27 |
| 072 I000000 | 20 |

PAGE 7

| | |
|-------------|------|
| 072 J000000 | 76 |
| 072 K000000 | 2 |
| 072 L000000 | 10 |
| 072 M000000 | 21 |
| 072 N000000 | 15 |
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| 072 P000000 | 0 |
| 072 Q000000 | 0 |
| 072 R000000 | 60 |
| 072 S000000 | 25 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 10 |
| 072 W000000 | 68 |
| 072 X000000 | 738 |
| 072 Y000000 | 0 |
| 072 Z000000 | -224 |
| 072AA000000 | 943 |
| 072BB000000 | 0 |
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| 072CC020000 | 0 |
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| 072EE000000 | 0 |

| | |
|-------------|--------|
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| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 29614 |
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| 074 H000000 | 0 |
| 074 I000000 | 0 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 16 |
| 074 M000000 | 18 |
| 074 N000000 | 30304 |
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| 074 P000000 | 108 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 27 |
| 074 S000000 | 0 |
| 074 T000000 | 30169 |

PAGE 8

| | |
|-------------|------|
| 074 U010000 | 3713 |
| 074 U020000 | 0 |
| 074 V010000 | 8.13 |
| 074 V020000 | 0.00 |

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| | | |
|-----------|---------------------|-------------------------|
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 3440 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 27754 |
| 076 | 000000 | 7.14 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 080 | A000000 | ICI MUTUAL INSURANCE CO |
| 080 | C000000 | 200 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 42804T105 |
| 087 | A030000 | CUBA |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | CECILIA GONDOR | |
| TITLE | SECRETARY/TREASURER | |