

APACHE CORP
Form 3
September 21, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Ricotta Dominic		(Month/Day/Year)	APACHE CORP [APA]	
(Last)	(First)	09/13/2016		
2000 POST OAK BLVD.,Â STE 100			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
HOUSTON,Â TXÂ 77056			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Sr. Vice President	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,950.71	I	Held by Trustee 401(k) Plan
Common Stock	4,434.98	I	Held by Trustee NQ Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	------------------------------------	---------------------------------	---

Edgar Filing: APACHE CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock / Units <u>(1)</u>	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	17,341	\$ 0 <u>(2)</u>	D	Â
Option (Buy \$82.55) <u>(1)</u>	Â <u>(4)</u>	05/06/2019	Common Stock	1,370	\$ 82.55	D	Â
Option (Buy \$99.30) <u>(1)</u>	Â <u>(5)</u>	05/05/2020	Common Stock	1,897	\$ 99.3	D	Â
Option (Buy \$126.61) <u>(1)</u>	Â <u>(6)</u>	05/04/2021	Common Stock	3,109	\$ 126.61	D	Â
Option (Buy \$82.63) <u>(1)</u>	Â <u>(7)</u>	05/22/2022	Common Stock	4,503	\$ 82.63	D	Â
Option - Buy \$80.89 <u>(1)</u>	Â <u>(8)</u>	05/16/2023	Common Stock	7,165	\$ 80.89	D	Â
Option - Buy \$41.24	Â <u>(9)</u>	02/03/2026	Common Stock	6,107	\$ 41.24	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ricotta Dominic 2000 POST OAK BLVD. STE 100 HOUSTON, TX 77056	Â	Â	Â Sr. Vice President	Â

Signatures

Dominic Ricotta 09/21/2016

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) With tandem tax withholding right

(2) One share of Apache common stock for each restricted stock unit.

(3) Restricted stock units granted under employer plan. The units vest ratably over three, four, or five years beginning one year from the date of grant.

(4) Exercisable ratably over four years beginning 05/06/2010.

(5) Exercisable ratably over four years beginning 05/05/2011.

(6) Exercisable ratably over four years beginning 05/04/2012.

Edgar Filing: APACHE CORP - Form 3

- (7) Exercisable ratably over four years beginning 05/22/2013.
- (8) Exercisable ratably over four years beginning 05/16/2014.
- (9) Exercisable ratably over three years beginning 02/03/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.