Edgar Filing: Rendino Kevin - Form 4

| Rendino Kev Form 4 | in | | | | | | | | | | |
|---|--|--|---|--|---|------------------|---|---|--|----------------------------------|--|
| December 28 | , 2018 | | | | | | | | | | |
| FORM | 4 | | CECUD | TTIEC A | | TT A T | | | | PPROVAL | |
| Washington, D. | | | | | | | | | OMB Number: | 3235-0287 January 31, | |
| Check this if no long | ar . | | | | | | | | | | |
| subject to Section 16 Form 4 or | 51A1E | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | 2005 average rs per 0.5 | |
| Form 5 obligation may conti <i>See</i> Instru 1(b). | s Section 17 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Rendino Kevin | | | 2. Issuer Name and Ticker or Trading Symbol 180 DEGREE CAPITAL CORP. | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | /NY/ [TURN] | | | | | (enec | en un uppricubie) | | |
| (Last) (First) (Middle) 7 NORTH WILLOW STREET, SUITE 4B | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/26/2018 | | | | | X Director 10% Owner X Officer (give title Other (specify below) below) Chairman and CEO | | | |
| STREET, SC | | | 4 70 4 | | <u> </u> | | | < + + + + + + + + | | | |
| | (Street) | | Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| MONTCLA | IR, NJ 07042 | | | | | | | Person | | porting | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ities Acq | uired, Disposed of | f, or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Dec (Month/Day/Year) Executi any (Month | | | 3. Transactio Code (Instr. 8) | Transaction(A) or Disposed of Code (D) | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 12/26/2018 | | | Р | 1,000 | А | \$ 1.76 | 542,042 | D | | |
| Common Stock | 12/27/2018 | | | Р | 1,720 | А | \$ 1.75 | 543,762 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Rendino Kevin 7 NORTH WILLOW STREET SUITE 4B MONTCLAIR, NJ 07042 | Х | | Chairman and CEO | | | | | |
| Signatures | | | | | | | | |
| /s/ Daniel B. Wolfe by Power of Attorney | f 12/27/2018 | | | | | | | |
| **Signature of Reporting Person | | Date | e | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.