

FITZPATRICK THOMAS

Form 5

February 08, 2019

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).

Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
FITZPATRICK THOMAS

(Last) (First) (Middle)

C/O IRIDIUM  
COMMUNICATIONS INC., 1750  
TYSONS BOULEVARD, SUITE  
1400

(Street)

2. Issuer Name and Ticker or Trading  
Symbol  
Iridium Communications Inc.  
[IRDM]

3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
12/31/2018

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chief Financial Officer

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MCLEAN, VA 22102

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or<br>Amount (D) Price | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|

Reminder: Report on a separate line for each class of  
securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information  
contained in this form are not required to respond unless  
the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: FITZPATRICK THOMAS - Form 5

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                      | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 | 8                                   |
|--------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------|---------------------------------------------------------------------|-----------------|-------------------------------------|
|                                                                          |                                                                       |                                         |                                                             |                                         | (A)                                                                                                                | (D)                                                            | Date<br>Exercisable | Expiration<br>Date                                                  | Title           | Amount<br>or<br>Number<br>of Shares |
| 6.75%<br>Series B<br>Cumulative<br>Perpetual<br>Convertible<br>Preferred | \$ 7.47 <sup>(1)</sup>                                                | 11/29/2018                              | Â                                                           | G                                       | Â                                                                                                                  | 319                                                            | Â <sup>(2)</sup>    | Â <sup>(2)</sup>                                                    | Common<br>Stock | 10,672                              |

## Reporting Owners

| Reporting Owner Name / Address                                                                                 | Relationships |           |                           |       |
|----------------------------------------------------------------------------------------------------------------|---------------|-----------|---------------------------|-------|
|                                                                                                                | Director      | 10% Owner | Officer                   | Other |
| FITZPATRICK THOMAS<br>C/O IRIDIUM COMMUNICATIONS INC.<br>1750 TYSONS BOULEVARD, SUITE 1400<br>MCLEAN, VA 22102 | Â X           | Â         | Â Chief Financial Officer | Â     |

## Signatures

/s/ Brian Leaf,  
Attorney-in-Fact

02/08/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Subject to adjustment upon the occurrence of certain events set forth in the Issuer's Certificate of Designations for the 6.75% Series B

- (1) Cumulative Perpetual Convertible Preferred Stock, which is included as Exhibit 3.1 with the Issuer's Registration Statement on Form 8-A, as filed with the Securities and Exchange Commission on May 14, 2014.

The shares are convertible at any time into shares of the Issuer's common stock at a conversion rate of 33.456 shares of common stock per

- (2) \$250 liquidation preference, which is equivalent to an initial conversion price of approximately \$7.47 per share of common stock (subject to adjustment in certain events). There is no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.