Edgar Filing: GUSTAFSON KURT A - Form 4

| GUSTAFSON KU | URT A | | | | | | | | | | |
|---|-------------------------------|--|---|--|----------------------|---|--|--|---|--|--|
| Form 4 | | | | | | | | | | | |
| June 27, 2018 | | | | | | | | | PPROVAL | | |
| FORM 4 | UNITED | STATES | SECU | RITIES A | AND EX | KCHANGI | E COMMISSIO | | TINOVAL | | |
| VOLUME 4 UNITED STATES SECURITIES AND EXCHA Washington, D.C. 20549 | | | | | | | | Number: | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or | STATEN | IENT OF | Estimated a burden hou | urs per | | | | | | | |
| Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type Respor | ises) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> GUSTAFSON KURT A | | | 2. Issuer Name and Ticker or Trading Symbol | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | Xencor Inc [XNCR] | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) C/O XENCOR, INC., 111 WEST LEMON AVENUE | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/26/2018 | | | _X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | | |
| (Street) MONROVIA, CA 91016 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) | State) | (Zin) | | | | | | | | | |
| (City) (S | State) | (Zip) | Tab | ole I - Non-l | Derivativ | e Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| | nsaction Date th/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | Dispose (Instr. 3 | d (A) or d of (D) , 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report on | a separate line | for each cla | ass of sec | urities bene | ficially o | wned directly | or indirectly. | | | | |
| | | | | | info requ | mation con ired to resp lays a curre | spond to the colle tained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. I |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Der |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Sec |

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | | (Instr. | 8) | (A) or Disposed (D) | Disposed of (D) (Instr. 3, 4, | | | | |
|--------------------------------------|------------------------------------|------------------|--|---------|----|---------------------------|-------------------------------------|---------------------|--------------------|-----------------|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 38.93 | 06/26/2018 | | A | | 7,500 | | <u>(1)</u> | 06/25/2028 | Common Stock | 7,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| i o | Director | 10% Owner | Officer | Other | | | |
| GUSTAFSON KURT A C/O XENCOR, INC. 111 WEST LEMON AVENUE MONROVIA, CA 91016 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ John J. Kuch, Attorney-in-Fact | 06 | /27/2018 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to the option will vest in equal monthly installments over one year beginning on the one-month anniversary of June 26, 2018, such that the total number of shares will be fully vested on June 26, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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