

Brice Carson H
Form 4
June 27, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brice Carson H

2. Issuer Name and Ticker or Trading Symbol
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
06/25/2018

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

PO BOX 1352

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

SMITHFIELD, NC 27577

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common | | | | | 231,834 | D | |
| Class A Common | | | | | 280 | I | By spouse |
| Class A Common | 06/25/2018 | | J ⁽¹⁾ | 12,603 A | \$ 0 16,781 | I | As custodian for Stephen Brice |
| Class A Common | 06/25/2018 | | J ⁽¹⁾ | 12,602 A | \$ 0 16,180 | I | As Custodian for Cordelia Brice |

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| | | | | | | | | | |
|-------------------|------------|--|-------------------------|--------|---|------|-------------------|-------------------------|---|
| Class A common | 06/25/2018 | | <u>J</u> ⁽¹⁾ | 12,602 | A | \$ 0 | 16,180 | I | As Custodian for Elizabeth Brice |
| Class A Common | | | | | | | 10,652 | I | As beneficiary of Trust |
| Class A Common | 06/25/2018 | | <u>J</u> ⁽²⁾ | 72,800 | D | \$ 0 | 0 <u>(3)</u> | I | By ECHB, LLC |
| Class A Common | 06/25/2018 | | <u>J</u> ⁽¹⁾ | 728 | A | \$ 0 | 78,284 <u>(4)</u> | I | Trusts f/b/o children |
| Class B Common | | | | | | | 108,756 | D | |
| Class B Common | | | | | | | 563 | I | By spouse |
| Class B Common | | | | | | | 2,347 | I | As custodian for Stephen Brice |
| Class B Common | | | | | | | 2,348 | I | As custodian for Elizabeth Brice |
| Class B Common | | | | | | | 2,348 | I | As custodian for Cordelia Brice |
| Class B Common | | | | | | | 1,250 | I | As beneficiary of Trust |
| Class B Common | | | | | | | 2,525 | <u>I</u> ⁽⁴⁾ | Trusts f/b/o children |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
|---|--|---|---|--------------------------------------|--|--|---|---|--|

of (D)
(Instr. 3,
4, and 5)

(Instr

| Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|------|---|-----|-----|------------------|-----------------|-------|----------------------------|
|------|---|-----|-----|------------------|-----------------|-------|----------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Brice Carson H PO BOX 1352 SMITHFIELD, NC 27577 | | X | | |

Signatures

Carson H. Brice; by William R. Lathan, Jr.,
Attorney-in-fact

06/27/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Pro-rata distribution of shares from ECHB, LLC, of which the reporting person is not a member and has no interest.
- (2) Liquidating distribution of shares of ECHB, LLC, of which the reporting person is not a member and has no interest.

The listed shares are held by a limited liability company in which 100% of the voting interests are held by a trust for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of the trust or have any interest in the limited liability company, she disclaims beneficial ownership of the shares held by the limited liability company, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

- (3) The listed shares are held by three trusts for the benefit of the Reporting Person's children. The Reporting Person does not serve as trustee of or have or share investment control over the Issuer's shares held by the trusts, she disclaims beneficial ownership of the shares held by the trusts, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the shares for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.