HUBSPOT INC Form 4 March 22, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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**OMB APPROVAL** 

3235-0287

OMB

Number:

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Sherman J Donald |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |  |  |
|--|---------|----------|--|--|--|--|--|
|  |         |          | HUBSPOT INC [HUBS]                                 | (Check all applicable)                           |  |  |  |
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction                    |  |  |  |  |
|  |         |          | (Month/Day/Year)                                   | Director 10% Owner                               |  |  |  |
| C/O HUBSPOT, INC., 25 FIRST                                |         |          | 03/20/2017   | X Officer (give title Other (specify             |  |  |  |
| STREET, 2ND FLOOR  |         |          |  | below) below)                                    |  |  |  |
| STREET, 21 OF LOOK   |         |          |  | President and COO                                |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check        |  |  |  |
|  |         |          | Filed(Month/Day/Year)                              | Applicable Line)                                 |  |  |  |
|  |         |          |  | _X_ Form filed by One Reporting Person           |  |  |  |
| CAMBRIDGE, MA 02141  |         |          |  | Form filed by More than One Reporting            |  |  |  |
|  |         |          |  | Person   |  |  |  |

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-l  | Derivative    | Secur | ities Acqui  | red, Disposed of,  | or Beneficial   | ly Owned |
|--------------------------------------|--------------------------------------|---|---|---------------|-------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |               |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |          |
| Common<br>Stock                      | 03/20/2017                           |   | Code V M(1)   | Amount 30,000 | (D)   | Price \$ 5.76  | (Instr. 3 and 4)<br>139,725                              | D   |          |
| Common<br>Stock                      | 03/20/2017                           |   | S <u>(1)</u>  | 30,000        | D     | \$<br>64.499<br>(2)  | 109,725  | D   |          |
| Common<br>Stock                      | 03/20/2017                           |   | S <u>(1)</u>  | 5,115         | D     | \$ 63.722 (3)  | 104,610  | D   |          |
| Common<br>Stock                      | 03/20/2017                           |   | S <u>(1)</u>  | 24,885        | D     | \$<br>64.411<br>(4)  | 79,725   | D   |          |

#### Edgar Filing: HUBSPOT INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | ransactionDerivative ode Securities |     | Expiration Date<br>(Month/Day/Year)<br>A)<br>d of |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|-------------------------------------|-----|---|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D                              |     | Date<br>Exercisable                               | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(right to            | \$ 5.76   | 03/20/2017                           |   | M(1)                                   | 30,0                                | 000 | <u>(5)</u>  | 05/08/2022         | Common<br>Stock   | 30,000                              |

### **Reporting Owners**

| Reporting Owner Name / Address | Keiationsnips |
|--------------------------------|---------------|
|                                |               |

Director 10% Owner Officer Other

Sherman J Donald

buy)

C/O HUBSPOT, INC.

25 FIRST STREET, 2ND FLOOR

CAMBRIDGE, MA 02141

President
and COO

#### **Signatures**

/s/ John Kelleher, attorney-in-fact 03/22/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a written trading plan adopted by the Reporting Person in accordance with Rule 10b5-1.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$63.95 to \$64.9, inclusive. The reporting person undertakes to provide to HubSpot, Inc., any security holder of HubSpot, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Reporting Owners 2

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- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$63.35 to \$63.975, inclusive. The reporting person undertakes to provide to HubSpot, Inc., any security holder of HubSpot, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$64 to \$64.85, inclusive. The reporting person undertakes to provide to HubSpot, Inc., any security holder of HubSpot, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (5) The original stock option grant of 532,666 shares underlying the option vests over four (4) years beginning on March 1, 2012 at a rate of 25% after 12 months and in thirty-six (36) equal monthly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.