

Colfax CORP
Form 4
November 25, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kiefaber Clay

(Last) (First) (Middle)

420 NATIONAL BUSINESS PARKWAY, 5TH FLOOR

(Street)

ANNAPOLIS JUNCTION, MD 20701

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Colfax CORP [CFX]

3. Date of Earliest Transaction (Month/Day/Year)
11/23/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
ESAB Global CEO & EVP - Colfax

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$.001	11/23/2015		M		24,690	A	\$ 11.85
							120,457
Common Stock, par value \$.001	11/23/2015		S		24,690	D	\$ 27.042 <u>(1)</u>
							95,767
Common Stock, par value	11/24/2015		M		54,424	A	\$ 11.85
							150,191

Edgar Filing: Colfax CORP - Form 4

\$.001								
Common Stock, par value \$.001	11/24/2015		M	68,082	A	\$ 12.27	218,273	D
Common Stock, par value \$.001	11/24/2015		M	51,677	A	\$ 21.77	269,950	D
Common Stock, par value \$.001	11/24/2015		S	174,183	D	\$ 27.1165 ⁽²⁾	95,767	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.85	11/23/2015		M	24,690	⁽³⁾	03/28/2017	Common Stock, par value \$.001	24,690
Employee Stock Option (right to buy)	\$ 11.85	11/24/2015		M	54,424	⁽³⁾	03/28/2017	Common Stock, par value \$.001	54,424
Employee Stock Option (right to buy)	\$ 12.27	11/24/2015		M	68,082	⁽⁵⁾	01/10/2017	Common Stock, par value \$.001	68,082

buy)										
Employee Stock Option (right to buy)	\$ 21.77	11/24/2015	M	51,677	(7)	02/23/2018	Common Stock, par value \$.001	51,677		

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kiefaber Clay 420 NATIONAL BUSINESS PARKWAY 5TH FLOOR ANNAPOLIS JUNCTION, MD 20701			ESAB Global CEO & EVP - Colfax	

Signatures

/s/ A. Lynne Puckett,
Attorney-in-Fact

11/25/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.00 to \$27.15, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.

(2) The price reported in this row of Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$27.00 to \$27.355, inclusive. The reporting person undertakes to provide to Colfax Corporation, any security holder of Colfax Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(3) The option vested in three equal annual installments beginning on March 29, 2011.

(4) Mr. Kiefaber's pecuniary interest in 15,823 options in this tranche were previously transferred to his ex-wife pursuant to a domestic relations order.

(5) The option vested in three equal annual installments beginning on January 11, 2011.

(6) Mr. Kiefaber's pecuniary interest in 34,042 options in this tranche were previously transferred to his ex-wife pursuant to a domestic relations order.

(7) The option vested in three equal annual installments beginning on February 24, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.