SYNAPTICS INC Form 4

January 30, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Barber Kevin D Issuer Symbol SYNAPTICS INC [SYNA] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify \_X\_\_ Officer (give title 1251 MCKAY DRIVE 01/28/2014 below) SVP & GM, Handheld Products (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95131 Person

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secui	rities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Beneficially Form: Owned Direct (D)	Ownership Form: Direct (D) or Indirect (I)	Beneficial Ownership	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	01/28/2014		M	2,284	A	\$ 23.16	7,570	D	
Common Stock	01/28/2014		M	13,602	A	\$ 27.99	21,172	D	
Common Stock	01/28/2014		M	9,114	A	\$ 32.53	30,286	D	
Common Stock	01/28/2014		S <u>(1)</u>	12,000	D	\$ 58.2118 (2)	18,286	D	
Common Stock	01/28/2014		S(1)	13,000	D	\$ 60	5,286	D	

**OMB APPROVAL** 

3235-0287

January 31,

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**OMB** 

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of tiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 23.16	01/28/2014		M		2,284	(3)	10/31/2019	Common Stock	2,284
Employee Stock Option (Right to Buy)	\$ 27.99	01/28/2014		M		13,602	<u>(4)</u>	01/24/2018	Common Stock	13,602
Employee Stock Option (Right to Buy)	\$ 32.53	01/28/2014		M		9,114	(5)	10/24/2018	Common Stock	9,114

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Barber Kevin D 1251 MCKAY DRIVE SAN JOSE, CA 95131

SVP & GM, Handheld Products

## **Signatures**

Kermit Nolan, as

attorney-in-fact 01/29/2014

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\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated August 31, 2013.
  - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$57.8850 to \$58.2500, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security
- holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) 1/36th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the last day of each month following the date of grant until fully vested on October 31, 2015.
- 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 24, (4) 2011 date of grant, and 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become
- (4) 2011 date of grant, and 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 24th day of each month thereafter.
- (5) 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 24th day of each month after the October 24, 2011 date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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