

MEDICINOVA INC
Form 3
October 17, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â O'Brien Geoffrey
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/15/2013

3. Issuer Name and Ticker or Trading Symbol
MEDICINOVA INC [MNOV]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

C/O MEDICINOVA,
INC.,Â 4275 EXECUTIVE
SQUARE, SUITE 650

(Street)

LA JOLLA,Â CAÂ 92037

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

5,000

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrant (right to buy)	03/29/2011	03/29/2016	Common Stock	5,000	\$ 3.56	D	Â
Employee Stock Option (right to buy)	Â <u>(1)</u>	07/06/2019	Common Stock	25,000	\$ 4.8	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	01/28/2020	Common Stock	11,900	\$ 7.34	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	07/12/2021	Common Stock	32,000	\$ 2.54	D	Â
Employee Stock Option (right to buy)	06/14/2012	08/02/2021	Common Stock	26,250	\$ 2.46	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	05/12/2023	Common Stock	22,500	\$ 4.1	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OBrien Geoffrey C/O MEDICINOVA, INC. 4275 EXECUTIVE SQUARE, SUITE 650 LA JOLLA, CA 92037	Â	Â	Â Vice President	Â

Signatures

/s/ Geoffrey O'Brien
 10/17/2013
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested over a period of 4 years in equal monthly installments from the 7-7-09 date of grant and is now fully vested.
- (2) The option vests over a period of 4 years in equal monthly installments from the 1-29-10 date of grant.
- (3) The option vests over a period of 4 years in equal monthly installments from the 7-13-11 date of grant.
- (4) The option vests over a period of 4 years in equal monthly installments from the 5-13-13 date of grant.

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Remarks:
 Exhibit List: Â Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.