

GREATBATCH, INC.
Form 4
August 02, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MAZZA THOMAS J

(Last) (First) (Middle)
10000 WEHRLE DRIVE
(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction (Month/Day/Year)
07/31/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Corporate Controller

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | | (A) or (D) | Price | | | |
| Common Stock | 07/31/2013 | | S | 15,000 | D | \$ 37.86 | 7,647 | D |
| Common Stock | 07/31/2013 | | M | 7,074 | A | \$ 37.92 | 14,721 | D |
| Common Stock | 07/31/2013 | | F | 5,325 | D | \$ 37.92 | 9,396 | D |
| Common Stock | 07/31/2013 | | M | 12,781 | A | \$ 37.78 | 22,177 | D |
| Common Stock | 07/31/2013 | | F | 9,936 | D | \$ 37.78 | 12,241 | D |

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| | | | | | | | | |
|--------------|------------|---|--------|---|----------|--------|---|-----------|
| Common Stock | 08/01/2013 | S | 2,511 | D | \$ 37.81 | 9,730 | D | |
| Common Stock | 08/01/2013 | M | 40,413 | A | \$ 37.81 | 50,143 | D | |
| Common Stock | 08/01/2013 | F | 32,565 | D | \$ 37.81 | 17,578 | D | |
| Common Stock | | | | | | 3,264 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Options | \$ 22.1 | 08/01/2013 | | M | 8,043 | 12/28/2012 01/01/2022 | Common 8,043 |
| Stock Option | \$ 24.15 | 08/01/2013 | | M | 13,811 | 12/30/2011 01/01/2021 | Common 13,811 |
| Stock Option | \$ 20.84 | 08/01/2013 | | M | 11,468 | 12/31/2010 03/10/2020 | Common 11,468 |
| Employee stock options | \$ 21.88 | 08/01/2013 | | M | 7,091 | 02/28/2011 10/13/2018 | Common 7,091 |
| Employee stock options | \$ 18.24 | 07/31/2013 | | M | 7,074 | 12/31/2005 03/31/2015 | Common 7,074 |
| Stock options | \$ 20.14 | 07/31/2013 | | M | 12,781 | 12/31/2008 03/04/2018 | Common 12,781 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MAZZA THOMAS J 10000 WEHRLE DRIVE CLARENCE, NY 14031 | | | VP, Corporate Controller | |

Signatures

/s/ Christopher J. Thome as attorney-in-fact for Thomas J. Mazza

08/02/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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