

BROOKS AUTOMATION INC
 Form 3
 November 13, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * ^ SMALL RICHARD (Last) (First) (Middle) C/O BROOKS AUTOMATION, INC., ^ 15 ELIZABETH DRIVE (Street) CHELMSFORD, ^ MA ^ 02184 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 11/08/2007	3. Issuer Name and Ticker or Trading Symbol BROOKS AUTOMATION INC [BRKS]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Corporate Controller	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
--	--	--	---	--	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	27,708	D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	---	--	---	--

Edgar Filing: BROOKS AUTOMATION INC - Form 3

	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
Incentive Stock Option (right to buy)	09/18/2004 ⁽¹⁾ 09/18/2010	Common Stock 15,648 \$ 25.21	D Â
Non-Qualified Stock Option (right to buy)	09/18/2004 ⁽²⁾ 09/18/2010	Common Stock 4,352 \$ 25.21	D Â
Non-Qualified Stock Option (right to buy)	03/20/2005 ⁽³⁾ 12/20/2011	Common Stock 10,000 \$ 17.22	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SMALL RICHARD C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 02184	Â	Â	Â SVP, Corporate Controller	Â

Signatures

/s/ Thomas S. Grilk, attorney-in-fact for Richard Small 11/13/2007

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable according to the following schedule: 3,173 shares on September 18, 2004; 793 shares on December 18, 2004; 991 shares on March 18, 2005; 991 shares on June 18, 2005; 992 shares on September 18, 2005; 992 shares on December 18, 2005; 991 shares on March 18, 2006; 991 shares on June 18, 2006; 992 shares on September 18, 2006; 992 shares on December 18, 2006; 1250 shares on March 18, 2007; 1250 shares on June 18, 2007; and 1250 shares on September 18, 2007.
- (2) The option became exercisable according to the following schedule: 1,827 shares on September 18, 2004; 457 shares on December 18, 2004; 258 shares on March 18, 2005; 258 shares on June 18, 2005; 259 shares on September 18, 2005; 259 shares on December 18, 2005; 258 shares on March 18, 2006; 258 shares on June 18, 2006; 259 shares on September 18, 2006; and 259 shares on December 18, 2006.
- (3) The option becomes exercisable in sixteen equal quarterly installments (of 625 shares each) beginning March 20, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.