Stock Yards Bancorp, Inc. Form 4

November 26, 2014

Check this box

if no longer

subject to

Section 16.

Form 4 or

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Addre HEINTZMAN		g Person *	2. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT]	5. Relationship of Reporting Person(s) to Issuer		
			Stock Tards Bancorp, Inc. [STB1]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
3019 POPPY WAY			(Month/Day/Year) 11/25/2014	_X_ Director 10% Owner Selection Other (specify below) Chairman & CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
LOUISVILLE,	KY 40206		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(Stata)	(Zin)				

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	ırities Ac	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	11/25/2014		M	575	A	(1)	100,068	D	
Common Stock	11/25/2014		S	575	D	\$ 32.19	99,493	D	
Common Stock	11/26/2014		M	3,136	A	<u>(1)</u>	102,629	D	
Common Stock	11/26/2014		S	3,136	D	\$ 31.9	99,493	D	
Common Stock							22,768.3094	I	By 401k/ESOP - fbo David

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### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Heintman

Common Ι 4,041 By Spouse Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number iom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Dat	Date Exercisable and 7. Title and Underlying Sonth/Day/Year) (Instr. 3 and		Securitie
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Option (Right to Buy)	\$ 22.8095	11/25/2014		M		575	06/14/2005	12/14/2014	Common Stock	575
Option (Right to Buy)	\$ 22.8095	11/26/2014		M		3,136	06/14/2005	12/14/2014	Common Stock	3,13
Option (Right to Buy)	\$ 24.0667						07/17/2006	01/17/2016	Common Stock	31,50
Option (Right to Buy)	\$ 26.83						08/20/2007	02/20/2017	Common Stock	22,00
Stock Appreciation Right	\$ 23.37						08/19/2008	02/19/2018	Common Stock	13,50
Stock Appreciation Right	\$ 22.14						02/17/2010	02/17/2019	Common Stock	12,30
Stock Appreciation Right	\$ 21.03						02/16/2011	02/16/2020	Common Stock	17,55

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Stock Appreciation Right	\$ 23.76	03/15/2012	03/15/2021	Common Stock	14,38
Stock Appreciation Right	\$ 22.86	02/20/2013	02/20/2022	Common Stock	24,27
Stock Appreciation Right	\$ 22.89	02/19/2014	02/19/2023	Common Stock	16,67
Stock Appreciation Right	\$ 29.05	02/18/2015	02/18/2024	Common Stock	19,32

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206	X		Chairman & CEO				

# **Signatures**

//David P.

Heintzman 11/26/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of nonqualified stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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