Hercules Capital, Inc. Form 8-K June 29, 2016

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 29, 2016

Hercules Capital, Inc.

(formerly known as Hercules Technology Growth Capital, Inc.)

(Exact name of registrant as specified in its charter)

Maryland (State or other jurisdiction

814-00702 (Commission 74-3113410 (I.R.S. Employer

of incorporation)

File No.)

**Identification No.)** 

# 400 Hamilton Ave., Suite 310

	Palo Alto, CA (Address of principal executive offices) Registrant s telephone number, including area code: (6	94301 (Zip Code) 50) 289-3060	
	Not Applicable		
	(Former name or address, if changed since last report)		
Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):			
	Written communications pursuant to Rule 425 under the Securities Act (17 CF	FR 230.425)	
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 2	240.14a-12)	
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exc	hange Act (17 CFR 240.14d-2(b))	
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Excl	nange Act (17 CFR 240.13e-4(c))	

## **Item 8.01 Other Events**

On June 29, 2016, Hercules Capital, Inc. issued a press release announcing its \$6 billion milestone. The text of the press release is included as an exhibit to this Form 8-K.

### **Item 9.01 Financial Statements and Exhibits**

- (d) Exhibits
- 99.1 Press Release dated June 29, 2016.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HERCULES CAPITAL, INC.

June 29, 2016

By: /s/ Melanie Grace Melanie Grace General Counsel

# EXHIBIT INDEX

**Exhibit No.** Description of Exhibits

99.1 Press Release dated June 29, 2016