

GENESEE & WYOMING INC
Form 4
November 12, 2008

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FULLER MORTIMER B III

2. Issuer Name and Ticker or Trading Symbol
GENESEE & WYOMING INC
[GWR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
66 FIELD POINT ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/07/2008

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec.Chmn. & Chrmn.of the Bd.

GREENWICH, CT 06830

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Class A Common Stock, \$.01 par value					9,589.5	I ⁽¹⁾	By Wife ⁽¹⁾
Class A Common Stock, \$.01 par value	11/07/2008		C		300,000	A ⁽²⁾	353,097
Class B Common					2,727,667.5	D	⁽⁴⁾

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Stock, \$.01 par value							
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	200	D	\$ 32.18	352,897	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	50	D	\$ 32.19	352,847	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	200	D	\$ 32.25	352,647	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	800	D	\$ 32.28	351,847	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	800	D	\$ 32.29	351,047	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.3	350,947	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.33	350,847	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	400	D	\$ 32.34	350,447	D
Class A Common Stock,	11/07/2008	<u>S⁽³⁾</u>	300	D	\$ 32.35	350,147	D

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Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	1,200	D	\$ 32.37	348,947	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	400	D	\$ 32.38	348,547	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	300	D	\$ 32.39	348,247	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	500	D	\$ 32.4	347,747	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	1,200	D	\$ 32.41	346,547	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.44	346,447	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	400	D	\$ 32.45	346,047	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	700	D	\$ 32.46	345,347	D
Class A Common Stock, \$.01 par	11/07/2008	<u>S⁽³⁾</u>	800	D	\$ 32.47	344,547	D

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value

Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.48	344,447	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	300	D	\$ 32.49	344,147	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.5	344,047	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	1,200	D	\$ 32.51	342,847	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	600	D	\$ 32.52	342,247	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	700	D	\$ 32.53	341,547	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	400	D	\$ 32.54	341,147	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	200	D	\$ 32.55	340,947	D
Class A Common Stock, \$.01 par value	11/07/2008	<u>S⁽³⁾</u>	100	D	\$ 32.57	340,847	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Class B Common Stock, \$.01 par value	(4)	11/07/2008		C	300,000	(4) (4)	Class A Common Stock, \$.01 par value	300,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FULLER MORTIMER B III 66 FIELD POINT ROAD GREENWICH, CT 06830	X		Exec.Chmn. & Chrmn.of the Bd.	

Signatures

Allison M. Fergus, Attorney-in-Fact for Mortimer B. Fuller
 11/12/2008
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held by Mr. Fuller's wife. Mr. Fuller disclaims beneficial ownership of these shares.
- (2) These shares were received upon conversion of Class B Common Stock held by Mr. Fuller. Each share of Class B Common Stock is convertible into one share of Class A Common Stock.
- (3) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 5, 2008.
- (4) This Class B Common Stock is not registered pursuant to Section 12 of the Act. However, each share of Class B Common Stock is convertible into one share of Class A Common Stock.

Remarks:

Form #1 of 6 forms reporting 11/07/2008 and 11/10/2008 transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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