

GETZ JAMES F  
Form 3  
May 08, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

GETZ JAMES F  
(Last) (First) (Middle)

ONE OXFORD CENTRE, 301  
GRANT STREET, SUITE 2700

(Street)

PITTSBURGH, PA 15219

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
05/08/2013

3. Issuer Name and Ticker or Trading Symbol  
TriState Capital Holdings, Inc. [TSC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)  
CHAIRMAN, PRESIDENT AND  
CEO

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| COMMON STOCK                    | 490,209   | D  | BY BARCLAYS CAPITAL, INC., FBO JAMES F. GETZ          |
| COMMON STOCK                    | 173,118   | I  | INDIVIDUAL RETIREMENT ACCOUNT <sup>(1)</sup>          |
| COMMON STOCK                    | 284,173   | I  | BY GETZ ENTERPRISES, L.P. <sup>(2)</sup>              |
| COMMON STOCK                    | 1,720 <sup>(3)</sup>                                  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 5) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |   |   |
|---|---|--|--|--|--|---|---|
|   | Date Exercisable  | Expiration Date  | Title  | Amount or Number of Shares   |  |   |   |
| STOCK OPTIONS<br>(RIGHT TO BUY)               | 06/30/2015 <sup>(4)</sup>                                   | 12/31/2022   | COMMON STOCK   | 95,000   | \$ 10.25   | D | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                                     |       |
|---|---------------|-----------|-------------------------------------|-------|
|   | Director      | 10% Owner | Officer                             | Other |
| GETZ JAMES F<br>ONE OXFORD CENTRE<br>301 GRANT STREET, SUITE 2700<br>PITTSBURGH, PA 15219 | Â X           | Â         | Â CHAIRMAN,<br>PRESIDENT AND<br>CEO | Â     |

## Signatures

/s/ Fenimore, Kay, Harrison & Ford, LLP by Brent Standefer, Jr.,  
Attorney-in-fact

05/08/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is the beneficiary of this account, which owns a total of 173,118 shares of the Issuer's common stock.
- (2) The Reporting Person is the general partner of this entity, which owns a total of 284,173 shares of the Issuer's common stock.
- (3) Shares held jointly of record by the Reporting Person and his spouse.
- (4) 47,500 of these options will vest and become exercisable on or about 06/30/2015, and the remaining 47,500 options will vest and become exercisable on 12/31/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.