### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4 April 01, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 323

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31,

2005

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response...

may continue.

See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
OWENS JAMES W

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

INTERNATIONAL BUSINESS

(Check all applicable)

(Last)

(First) (Middle)

(Month/Day/Year) Execution Date, if

3. Date of Earliest Transaction

MACHINES CORP [IBM]

\_X\_\_ Director \_\_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify

(Month/Day/Year) 03/31/2008

CATERPILLAR INC., 100 N.E.

(Street)

ADAMS STREET

4. If Amendment, Date Original

 Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

Code

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

below)

**PEORIA, IL 61629** 

Security

(Instr. 3)

(City) (State) (Zip)

1.Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3. 4. Securities 5. Amount of 6. Ownership 7. Nature of 6.

Disposed of (D)

5. Amount of Securities Form: Direct Indirect
Beneficially (D) or Indirect Beneficial
Owned (I) Ownership

(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

TransactionAcquired (A) or

Following (Instr. 4)
Reported

(A) Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price (IIIsti. 3 &

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amount of 8. Price Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Expiration Date Underlying Securities Derivative

(Instr. 4)

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any

Code

Derivative (Month/Day/Year)

(Instr. 3 and 4)

Security

(Instr. 3)	Price of Derivative Security		(Month/Day/Year) (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(Instr. 5				
			Code V	V (A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Promised Fee Share	\$ 0 (1)	03/31/2008		A(2)	43	7	(3)	(3)	Common Stock	437	\$ 114.6

## **Reporting Owners**

or Exercise

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
OWENS JAMES W CATERPILLAR INC. 100 N.E. ADAMS STREET PEORIA, IL 61629	X						

### **Signatures**

Security

D. Cummins for J. W. Owens by power-of-attorney 04/01/2008

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan are paid out after retirement in the company's common stock or cash.
- (2) Deferral of fees into Promised Fee Shares under the terms of the IBM Board of Directors Deferred Compensation and Equity Award Plan.
- (3) Distribution of Promised Fee Shares under the IBM Board of Directors Deferred Compensation and Equity Award Plan is deferred until retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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