## Edgar Filing: INTL FCSTONE INC. - Form 4

INTL FCSTO Form 4	ONE INC.										
March 10, 20	)14										
FORM									OMB APPROVAL		
	• • UNITED S	STATES		ITIES A hington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 o	ger <b>STATEM</b> 6.										
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	response Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type F	Responses)										
Smith Philip Andrew Symbol				er Name <b>and</b> Ticker or Trading FCSTONE INC. [INTL]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M		3. Date of Earliest Transaction (0					(Chec	heck all applicable)		
708 THIRD	AVENUE, SUIT		(Month/D 03/06/20	•				below)	title 10% below) subsidiary entit		
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORI	K, NY 10017							Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common	03/06/2014			P <u>(1)</u>	220	(D) A	\$ 18.49	128,293	D		
Common	03/07/2014			Х	4,895	А		133,188	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 6.62	03/07/2014		Х	4,895	12/05/2011	12/05/2014	Common	4,895

# **Reporting Owners**

Reporting Owner Name / Addre	255	Relationships						
	Director	10% Owner	Officer	Other				
Smith Philip Andrew 708 THIRD AVENUE SUITE 1500 NEW YORK, NY 10017				CEO - subsidiary entity				
Signatures								
Philip Andrew Smith	03/10/2014							

<u>\*\*</u>Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through a private purchase.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. lspan="3" Width="53%">

Wave Wireless Corporation

Date: July 05, 2007

By:

/s/ Daniel W. Rumsey

Daniel W. Rumsey

8 D S (] President

### **Exhibit Index**

# Exhibit No. Description EX-10.02 Contingent Unsecured Promissory Note By Wave Wireless Corporation in Favor of the Plan Administration Trust EX-10.03 Secured Promissory Note in Favor of SDS Capital Group SPC, Ltd. EX-3.(i). Amended and Restated Articles of Incorporation EX-3.(ii). Amended and Restated Bylaws EX-10.01 Security Agreement in Favor of SDS Capital Group SPC, Ltd. EX-2. Plan of Reorganization