

Edgar Filing: SCOTTS COMPANY - Form 5

SCOTTS COMPANY

Form 5

November 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported

() Form 4 Transactions Reported

1. Name and Address of Reporting Person

James Hagedorn

Beach Road

NY, Sands Point 11050

2. Issuer Name and Ticker or Trading Symbol

The Scotts Company (SMG)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

9/2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director (X) 10% Owner (X) Officer (give title below) () Other
 (specify below)

President and Chief Executive Officer

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|---|
| Common Shares | 1/29/2002 | G | 5500 | 22200 |
| Common Shares | | | | 9283.3494 1 |
| Common Shares | | | | 2281.206 2 |
| Common Shares | | | | 1709734 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Voting Securities |
|---------------------------------|---------------------------------|---------------------|---------|--|--|--|--|
|---------------------------------|---------------------------------|---------------------|---------|--|--|--|--|

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| Derivative Security | Amount | Date Acquired | Expiration Date | Title and Number of Shares |
|---------------------|--------|---------------|-----------------|----------------------------|
|---------------------|--------|---------------|-----------------|----------------------------|

Explanation of Responses:

1. Represents common shares held in the reporting person's account under the Issuer's Retirement Savings Plan ("401(K) Plan") a/o 9/30/02.
2. Represents common shares held in the reporting person's account under the Issuer's Executive Retirement Plan (the "Deferral Plan") a/o 9/30/02.
3. Represents the aggregate proportionate interests of the reporting person and those family members in whose holdings he may be deemed to have a pecuniary interest, in securities held by Hagedorn Partnership, L.P.
4. On April 22, 2002, Mr. Hagedorn transferred 8.796 partnership shares to each of his three children.
5. Hagedorn Partnership, L.P. is a Delaware limited partnership of which the reporting person is a general partner.