

THOMAS JOHN D  
Form 4  
October 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
THOMAS JOHN D

2. Issuer Name and Ticker or Trading Symbol  
CUBIC CORP /DE/ [CUB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
9333 BALBOA AVENUE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/01/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP/CFO

SAN DIEGO, CA 92123

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/01/2017		M	(A) or (D) 7,929 (1)	16,123	D	
Common Stock	10/01/2017		F	(A) or (D) 2,982 (2)	\$ 51 13,141	D	
Common Stock					2,172.8162	I	Cubic 401(K) (3)
Common Stock					100	I	John David Thomas IRA (4)
Common Stock					1,969.83	I	The John David

Common Stock	14,625	I	Thomas 1998 Trust <u>(5)</u> The Thomas Family 2009 Trust <u>(6)</u>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Deriv Secur (Instr	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				
Restricted Stock Units	<u>(1)</u>	10/01/2017		M	1,893	<u>(7)</u>	<u>(7)</u>	Common Stock	1,893
Restricted Stock Units	<u>(1)</u>	10/01/2017		M	1,950	<u>(8)</u>	<u>(8)</u>	Common Stock	1,950
Restricted Stock Units	<u>(1)</u>	10/01/2017		M	2,050	<u>(9)</u>	<u>(9)</u>	Common Stock	2,050
Restricted Stock Units	<u>(1)</u>	10/01/2017		M	2,036	<u>(10)</u>	<u>(10)</u>	Common Stock	2,036

## Reporting Owners

Reporting Owner Name / Address

Relationships

