Shaw Timothy E Form 4 September 14, 2009

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shaw Timothy E

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

SMF ENERGY CORP [FUEL]

(Check all applicable)

200 W CYPRESS CREEK

(Middle)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

See Remarks

below)

RD. SUITE 400

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

09/10/2009

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

FT. LAUDERDALE, FL 33309

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Security or Exercise any Code Securities Acquired (Month/Day/Year)

7. Title and Amoun

Underlying Securiti

(Instr. 3 and 4)

## Edgar Filing: Shaw Timothy E - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	(A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A		20,000		<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 2.98	09/10/2009		D			20,000	<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A		20,000		<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 2.98	09/10/2009		D			20,000	<u>(1)</u>	04/06/2016	Common Stock	20,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A		10,000		(3)	10/11/2016	Common Stock	10,0
Option to Purchase Common Stock	\$ 1.7	09/10/2009		D			10,000	(3)	10/11/2016	Common Stock	10,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A		25,000		<u>(5)</u>	12/08/2016	Common Stock	25,0
Option to Purchase Common Stock	\$ 1.55	09/10/2009		D			25,000	<u>(5)</u>	12/08/2016	Common Stock	25,0
Option to Purchase Common Stock	\$ 0.55	09/10/2009		A		10,000		<u>(7)</u>	10/08/2017	Common Stock	10,0
Option to Purchase Common	\$ 1.28	09/10/2009		D			10,000	<u>(7)</u>	10/08/2017	Common Stock	10,0

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Shaw Timothy E

200 W CYPRESS CREEK RD SUITE 400

See Remarks

FT. LAUDERDALE, FL 33309

# **Signatures**

/s/ Timothy E. 09/14/2009 Shaw

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option is fully vested.
- (2) The reporting person agreed to cancellation of an option granted to him on April 6, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- (3) The option vests as follows: 20% vested on October 11, 2007, 40% vested on October 11, 2008 and 40% will vest on October 11, 2009.
- (4) The reporting person agreed to cancellation of an option granted to him on October 11, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- The option vests as follows: 20% vested on December 8, 2007, 40% vested on December 8, 2007 and 40% will vest on December 8, 2009.
- (6) The reporting person agreed to cancellation of an option granted to him on December 8, 2006, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.
- (7) The option vests as follows: 20% vested on October 8, 2008, 40% will vest on October 8, 2009 and 40% will vest on October 8, 2010.
- (8) The reporting person agreed to cancellation of an option granted to him on October 8, 2007, in exchange for an option with the same terms, vesting schedule and expiration date but with a lower exercise price.

#### **Remarks:**

Sr. VP, Information Services & Administration and CIO

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3