**BIRZER H KEVIN** Form 4

July 30, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number: January 31,

Expires: 2005

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Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

|                                      | Name and Address of Reporting Person ** SIRZER H KEVIN |  | 2. Issuer Name and Ticker or Trading<br>Symbol<br>TORTOISE MLP FUND, INC.<br>[NTG] |  |  |   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |  |  |
|--------------------------------------|--|--|--|--|--|---|--|--|--|--|
| (Last)<br>11550 ASH                  | (First) (Middle) STREET, SUITE 300                     |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>07/27/2018                  |  |  |   | X Director 10% Owner Officer (give title below) Other (specify below)  |  |  |  |
| (Street)                             |  | 4. If Amendment, Date Original Filed(Month/Day/Year) |  |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |  |
| LEAWOOD, KS 66211                    |  |  |  |  |  |   | Form filed by More than One Reporting Person   |  |  |  |
| (City)                               | (State)  | (Zip)  | Table  | e I - Non-D                            | erivative S  | Securities A  | equired, Disposed  | of, or Beneficia   | ally Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction D<br>(Month/Day/Yea                     | ar) Execution  | emed<br>on Date, if<br>/Day/Year)  | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, | (A) or of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |  |  |
| Common<br>Stock                      |  |  |  |  |  |   | 13,291   | D  |  |  |
| Common<br>Stock                      |  |  |  |  |  |   | 252  | I  | By spouse<br>as<br>custodian<br>of<br>children's<br>accounts |  |
| Damindan Dan                         | ort on a congreta li                                   | na far aach  | loss of secur  | rities benefi                          | oiolly over  | ad directly a   | r indirectly   |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                                       |
|---|---|--------------------------------------|--|---------------------------------------|--|--|-----------------|---|---------------------------------------|
|   |   |                                      |  | Code V                                | and 5) (A) (D)   | Date<br>Exercisable                                      | Expiration Date | Title   | Amour<br>or<br>Number<br>of<br>Shares |
| Transferable<br>Subscription<br>Rights<br>(Right to<br>Buy) | <u>(1)</u>  | 07/27/2018(2)                        |  | S                                     | 252  | 06/19/2018   | 07/18/2018(2)   | Common<br>Stock   | 84                                    |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BIRZER H KEVIN 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211

X

#### **Signatures**

H. Kevin Birzer 07/30/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On June 7, 2018, Tortoise MLP Fund, Inc. (the "Fund") announced the terms of a pro rata offering of transferable rights (the "Rights") to holders of the Fund's common shares, as of the record date of June 19, 2018 ("Record Date Shareholder"), entitling the holders of such rights to subscribe for up to an aggregate of 15,802,094 of the Fund's common shares. Record Date Shareholders received one Right for each outstanding whole common share held on the record date. The Rights entitled their holders to purchase one new common share for every three Rights held; however, any Record Date Stockholder who owned fewer than three common shares as of the Record Date was entitled to subscribe for one common share. The subscription price per common share was \$14.64 (the "basic subscription privilege").

Reporting Owners 2

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| (2) As reported by the broker, the trade through a corporate action election had an entry date, trade date and settlement date of July 27, 2018. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. |  |  |  |  |  |  |
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