PIMCO INCOME STRATEGY FUND Form 3 January 08, 2015 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB 3235-0104 Number: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Otterbein Thomas J			<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>	3. Issuer Name and Ticker or Trading Symbol PIMCO INCOME STRATEGY FUND [PFL]				
(Last) C/O PIMCO,	(First) 650 NE	(Middle)	01/01/2015	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
CENTER DRIVE				(Check all applicable)				
(Street) NEWPORT BEACH, CA 92660				Director 10% Owner OfficerX Other (give title below) (specify below) See Remarks		r	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Bei	Reporting Person neficially Owned	
1.Title of Securi (Instr. 4)	ty		2. Amount o Beneficially (Instr. 4)	of Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ure of Indirect Beneficial rship	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date		Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect	

### (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Otterbein Thomas J C/O PIMCO 650 NEWPORT CENTER DRIVE NEWPORT BEACH, CA 92660	Â	Â	Â	See Remarks		
Signatures						
/s/ Raulin Villegas, Attorney-in-Fact f Otterbein	01/08/2015					
**Signature of Reporting Person				Date		
Explanation of Poononooou						

### **Explanation of Responses:**

#### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### Â

#### **Remarks:**

Pacific Investment Management Company LLC ("PIMCO") is the investment adviser of the Issuer. Â

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. -width: 1; border-left-width: 0; border-right-width: 1; border-bottom-width: 1">6. Date Exercisable and Expiration Date

(Month/Day/Year)7. Title and Amount of Underlying Securities

(Instr. 3 and 4)8. Price of Derivative Security

(Instr. 5)9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

(Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

## **Reporting Owners**

**Reporting Owner Name / Address** 

Director 10% Owner Officer Other

MALVEY KENNETH P 11550 ASH STREET SUITE 300 LEAWOOD, KS 66211

## **Signatures**

Kenneth P. 08/29/2017 Malvey

Date

### Relationships

Member of Investment Committee

### Edgar Filing: PIMCO INCOME STRATEGY FUND - Form 3

<u>\*\*</u>Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Energy Independence Fund, Inc. dividend reinvestment plan price. This transaction is being voluntarily reported early on Form 4.
- (2) These shares, held by adult child no longer living at home and which had been previously disclaimed, are being reported again as the reporting person has been given power to trade on this account again for adult child's benefit.

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