VERMILLION, INC.

Form 4

January 22, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* SCHULER JACK W

2. Issuer Name and Ticker or Trading Symbol

Issuer

VERMILLION, INC. [VRML]

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title below)

\_X\_\_ 10% Owner \_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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burden hours per

C/O VERMILLION, INC, 12117 BEE CAVES RD BLDG 3 STE 100

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

01/20/2016

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AUSTIN, TX 78738

Stock, par

(City)	(State)	Zip) Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Stock, par value \$0.001 per share	01/20/2016		P	24,700	A	\$ 1.44 (1)	2,144,496	I	See footnote (3)
Common Stock, par value \$0.001 per share	01/21/2016		P	6,000	A	\$ 1.53 (2)	2,150,496	I	See footnote (3)
Common							6,673,029	D	

value \$0.001 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

SCHULER JACK W C/O VERMILLION, INC 12117 BEE CAVES RD BLDG 3 STE 100 AUSTIN, TX 78738

X

## **Signatures**

/s/ Jack W. 01/22/2016 Schuler

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchase reported in column 4 is a weighted average price. The shares were purchased in multiple transactions ranging from \$1.37 to \$1.55. The reporting person undertakes to provide to Vermillion, any security holder of Vermillion or the staff of the Securities and

Reporting Owners 2

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Exchange Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.

- The purchase reported in column 4 is a weighted average price. The shares were purchased in multiple transactions ranging from \$1.52 to \$1.57. The reporting person undertakes to provide to Vermillion, any security holder of Vermillion or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each price within the range set forth in this footnote.
- (3) These shares of common stock are directly owned by the Jack W. Schuler Living Trust. Jack W. Schuler is the sole trustee of the Jack W. Schuler Living Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.