

Avago Technologies LTD
Form 4
March 10, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
OOI BOON C

(Last) (First) (Middle)

C/O AVAGO TECHNOLOGIES
U.S. INC., 1320 RIDDER PARK
DRIVE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Avago Technologies LTD [AVGO]

3. Date of Earliest Transaction
(Month/Day/Year)
03/06/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
SVP, Global Operations

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price |
| Ordinary Shares | 03/06/2015 | | M ⁽¹⁾ | | 35,000 | A | \$ 8.12 |
| Ordinary Shares | 03/06/2015 | | M ⁽¹⁾ | | 50,000 | A | \$ 35.31 |
| Ordinary Shares | 03/06/2015 | | S ⁽¹⁾ | | 54,999 | D | \$ 128.1074 |
| Ordinary Shares | 03/06/2015 | | S ⁽¹⁾ | | 18,101 | D | \$ 128.8182 |
| | | | | | | | 113,591 ⁽²⁾ |
| | | | | | | | 163,591 ⁽²⁾ |
| | | | | | | | 108,592 ⁽²⁾ |
| | | | | | | | 90,491 ⁽²⁾ |

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Ordinary Shares 03/06/2015 S⁽¹⁾ 11,900 D \$ 129,788.1 78,591 ⁽²⁾ D ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy) | \$ 8.12 | 03/06/2015 | | M ⁽¹⁾ | 35,000 | ⁽⁶⁾ 01/14/2019 | Ordinary Shares | 35,000 |
| Stock Option (Right to Buy) | \$ 35.31 | 03/06/2015 | | M ⁽¹⁾ | 50,000 | ⁽⁷⁾ 03/05/2019 | Ordinary Shares | 50,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

OOI BOON C
C/O AVAGO TECHNOLOGIES U.S. INC.
1320 RIDDER PARK DRIVE
SAN JOSE, CA 95131

SVP, Global
Operations

Signatures

/s/ Patricia McCall, Attorney-in-Fact for Boon
C. Ooi

03/10/2015

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person.

(2) Includes 75,501 Restricted Share Units.

(3) This transaction was executed in multiple trades in prices ranging from \$127.52 to \$128.51, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(4) This transaction was executed in multiple trades in prices ranging from \$128.52 to \$129.51, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(5) This transaction was executed in multiple trades in prices ranging from \$129.52 to \$130.41, inclusive. The price reported in Column 4 above reflects the weighted average sale price. The Reporting Person hereby undertakes to provide to the Securities and Exchange Commission staff, the Issuer, or a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.

(6) The option is fully vested and exercisable.

(7) The option vests as to 50% of the shares subject thereto on each of March 6, 2015 and March 6, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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