

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC  
Form 13F-HR  
May 07, 2010

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F  
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 5/7/2010  
Check here if Amendment ; Amendment Number:

-----  
This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.  
Address: 1-9-1, Nihonbash Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Brendan Daly  
Title: Chief Compliance Officer  
Phone: 212-667-1246

Signature, Place, and Date of Signing:

/s/ Brendan Daly	New York, NY	5/6/2010
-----	-----	-----
[Signature]	[City, State]	[Date]

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

Form 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0

Form 13F Information Table Entry Total: 193

Form 13F Information Table Value Total: \$ 912,983

-----  
(In Thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

NONE

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAG
CONSOLIDATED WATER CO INC		G23773107	188	13218	SH	DEFINED	01
XL CAP LTD		G98255105	1,454	79350	SH	DEFINED	01
ALCON INC		H01301102	20,715	126047	SH	DEFINED	01
MILlicom INTL CELLULAR S A		L6388F110	855	11600	SH	DEFINED	01
ADOBE SYS INC		00724F101	227	6186	SH	DEFINED	01
ADVANCED BATTERY TECHNOLOG I		00752H102	594	148653	SH	DEFINED	01
ALCOA INC		013817101	89,706	5564906	SH	DEFINED	01
ALCOA INC		013817101	148	10372	SH	DEFINED	02
ALLEGHENY TECHNOLOGIES INC		01741R102	364	6699	SH	DEFINED	02
ALLIS CHALMERS ENERGY INC		019645506	73	19400	SH	DEFINED	01
ALTAIR NANOTECHNOLOGIES INC		021373105	79	90775	SH	DEFINED	01
AMERICAN EAGLE OUTFITTERS NE		02553E106	889	52363	SH	DEFINED	01
AMERICAN INTL GROUP INC		026874784	613	20473	SH	DEFINED	01
AMERON INTL INC		030710107	713	11243	SH	DEFINED	01
APOLLO GROUP INC		037604105	270	4400	SH	DEFINED	02
APPLE INC		037833100	1,625	6900	SH	DEFINED	02
APPLIED MATLS INC		038222105	227	16593	SH	DEFINED	02
ARCELORMITTAL SA LUXEMBOURG		03938L104	336	7350	SH	DEFINED	01
ARMSTRONG WORLD INDS INC NEW		04247X102	248	6381	SH	DEFINED	01
ASCENT SOLAR TECHNOLOGIES IN		043635101	150	28404	SH	DEFINED	01
BAKER HUGHES INC		057224107	6,880	147800	SH	DEFINED	02
BANK OF AMERICA CORPORATION		060505104	5,768	383093	SH	DEFINED	01
BANK OF AMERICA CORPORATION		060505104	458	25740	SH	DEFINED	02
BANK OF NEW YORK MELLON CORP		064058100	4,083	145987	SH	DEFINED	01
BARCLAYS BK PLC		06739F291	787	12296	SH	DEFINED	01
BARRETT BILL CORP		06846N104	503	16400	SH	DEFINED	02
BAXTER INTL INC		071813109	17,389	296340	SH	DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

BEACON POWER CORP	073677106	72	145780	SH	DEFINED	01
BIOSANTE PHARMACEUTICALS INC	09065V203	61	42300	SH	DEFINED	01
BRISTOL MYERS SQUIBB CO	110122108	1,213	48053	SH	DEFINED	01
CIGNA CORP	125509109	27,992	793662	SH	DEFINED	01
CIT GROUP INC	125581801	6,067	219743	SH	DEFINED	01
CSX CORP	126408103	314	6200	SH	DEFINED	02
CALGON CARBON CORP	129603106	383	27575	SH	DEFINED	01
CARTER INC	146229109	11,828	450599	SH	DEFINED	01
CENTRAL PAC FINL CORP	154760102	196	150000	SH	DEFINED	01
CITIGROUP INC	172967101	397	120200	SH	DEFINED	04
CLAYMORE ETF TRUST 2	18383Q879	234	12600	SH	DEFINED	01
CLIFFS NATURAL RESOURCES INC	18683K101	588	8328	SH	DEFINED	02
COACH INC	189754104	278	7000	SH	DEFINED	02
COMMUNITY HEALTH SYS INC NEW	203668108	299	8100	SH	DEFINED	02
CON-WAY INC	205944101	243	6981	SH	DEFINED	01
CONTINENTAL AIRLS INC	210795308	1,751	97721	SH	DEFINED	01
CUMMINS INC	231021106	363	5892	SH	DEFINED	02
CYPRESS SEMICONDUCTOR CORP	232806109	285	24800	SH	DEFINED	02
ODANA HOLDING CORP	235825205	406	34196	SH	DEFINED	01
DANA HOLDING CORP	235825205	6,648	559649	SH	DEFINED	01
DEERE & CO	244199105	276	4600	SH	DEFINED	02
DELTA AIR LINES INC DEL	247361702	302	26624	SH	DEFINED	01
DEX ONE CORP	25212W100	10,158	371832	SH	DEFINED	01
DEX ONE CORP	25212W100	3,105	113687	SH	DEFINED	01
DOW CHEM CO	260543103	2,476	89641	SH	DEFINED	01
DOW CHEM CO	260543103	353	11945	SH	DEFINED	02
DU PONT E I DE NEMOURS & CO	263534109	274	7343	SH	DEFINED	02
EMCORE CORP	290846104	86	80994	SH	DEFINED	01
ENERGY CONVERSION DEVICES IN	292659109	158	15000	SH	DEFINED	01
ENER1 INC	29267A203	598	94415	SH	DEFINED	01
EVERGREEN SOLAR INC	30033R108	406	268946	SH	DEFINED	01
EXELON CORP	30161N101	3,348	68522	SH	DEFINED	01
EXPEDITORS INTL WASH INC	302130109	1,127	32441	SH	DEFINED	01

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAG
FTI CONSULTING INC		302941109	466	9900	SH	DEFINED	01
FEDERAL MOGUL CORP		313549404	1,421	82190	SH	DEFINED	01
FOREST OIL CORP		346091705	2,303	89200	SH	DEFINED	02
FREEMPORT-MCMORAN COPPER & GO		35671D782	1,684	14525	SH	DEFINED	01
FREEMPORT-MCMORAN COPPER & GO		35671D782	2,281	19675	SH	DEFINED	01
FUELCELL ENERGY INC		35952H106	697	185597	SH	DEFINED	01
GENERAL ELECTRIC CO		369604103	1,332	86048	SH	DEFINED	01
GENWORTH FINL INC		37247D106	1,057	93210	SH	DEFINED	01
GENZYME CORP		372917104	861	16568	SH	DEFINED	02
GEORGIA GULF CORP		373200302	2,580	139579	SH	DEFINED	01
GEORGIA GULF CORP		373200302	342	18529	SH	DEFINED	01
GERDAU S A		373737105	371	21800	SH	DEFINED	04
GILEAD SCIENCES INC		375558103	1,817	42000	SH	DEFINED	01
GOLDMAN SACHS GROUP INC		38141G104	891	5283	SH	DEFINED	01
GRACE W R & CO DEL NEW		38388F108	307	12121	SH	DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

GROUP 1 AUTOMOTIVE INC	398905109	245	8650	SH	DEFINED	01
GUSHAN ENVIRONMENTAL ENRGY L	40330W106	111	84346	SH	DEFINED	01
HALLIBURTON CO	406216101	438	14600	SH	DEFINED	02
HARRIS & HARRIS GROUP INC	413833104	94	20689	SH	DEFINED	01
HEINZ H J CO	423074103	228	5000	SH	DEFINED	02
HONEYWELL INTL INC	438516106	246	6300	SH	DEFINED	01
HORNBECK OFFSHORE SVCS INC N	440543106	255	10988	SH	DEFINED	01
ITT EDUCATIONAL SERVICES INC	45068B109	777	8102	SH	DEFINED	01
IDENIX PHARMACEUTICALS INC	45166R204	853	301476	SH	DEFINED	02
INNOPHOS HOLDINGS INC	45774N108	278	12140	SH	DEFINED	01
INTEL CORP	458140100	207	9300	SH	DEFINED	01
INTERNATIONAL BANCSHARES COR	459044103	248	10800	SH	DEFINED	02
ISHARES TR INDEX	464287234	11,745	279818	SH	DEFINED	02
ISHARES TR INDEX	464287473	239	5944	SH	DEFINED	02
ISHARES TR INDEX	464287630	238	3741	SH	DEFINED	02
ISHARES TR INDEX	464287655	298	4404	SH	DEFINED	02
ISHARES TR	464288174	227	5500	SH	DEFINED	01
ISHARES TR	464288372	738	21600	SH	DEFINED	01
ITAU UNIBANCO HLDG SA	465562106	328	14400	SH	DEFINED	01
OIVANHOE MINES LTD	46579N103	642	44000	SH	DEFINED	01
JPMORGAN CHASE & CO	46625H100	2,693	64661	SH	DEFINED	01
JPMORGAN CHASE & CO	46625H100	3,869	86700	SH	DEFINED	02
JAMES RIVER COAL CO	470355207	270	17000	SH	DEFINED	02
JOHNSON CTLS INC	478366107	321	11800	SH	DEFINED	01
JUNIPER NETWORKS INC	48203R104	273	8900	SH	DEFINED	02
LAYNE CHRISTENSEN CO	521050104	457	15922	SH	DEFINED	01
LEAP WIRELESS INTL INC	521863308	1,379	78583	SH	DEFINED	01
LEAR CORP	521865204	1,609	20284	SH	DEFINED	01
LEAR CORP	521865204	223	2820	SH	DEFINED	01
LINCOLN ELEC HLDGS INC	533900106	228	4209	SH	DEFINED	02
M & T BK CORP	55261F104	7,979	100590	SH	DEFINED	02
MBIA INC	55262C100	78	19800	SH	DEFINED	01
MARKET VECTORS ETF TR	57060U100	1,224	26500	SH	DEFINED	01
MAXWELL TECHNOLOGIES INC	577767106	523	29327	SH	DEFINED	01
MCKESSON CORP	58155Q103	9,927	158832	SH	DEFINED	01
MEAD JOHNSON NUTRITION CO	582839106	743	17011	SH	DEFINED	01
MEDTRONIC INC	585055106	1,235	28096	SH	DEFINED	01
MICROSOFT CORP	594918104	805	26424	SH	DEFINED	01
MICROSOFT CORP	594918104	271	9196	SH	DEFINED	02
MIDCAP SPDR TR	595635103	244	1883	SH	DEFINED	02
MIRANT CORP NEW	60467R100	168	11046	SH	DEFINED	01
MONSANTO CO NEW	61166W101	852	11931	SH	DEFINED	02
MORGAN STANLEY	617446448	1,416	48500	SH	DEFINED	02
MOSAIC CO	61945A107	1,738	29098	SH	DEFINED	01
MYLAN INC	628530107	2,451	133043	SH	DEFINED	01

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAG
NRG ENERGY INC		629377508	9,278	393010	SH	DEFINED	01
NRG ENERGY INC		629377508	416	17650	SH	DEFINED	01
NYSE EURONEXT		629491101	1,325	52400	SH	DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NEWMONT MINING CORP	651639106	382	7484	SH	DEFINED	02
NORTHWEST PIPE CO	667746101	634	23627	SH	DEFINED	01
OM GROUP INC	670872100	391	12478	SH	DEFINED	01
OIL SVC HOLDRS TR	678002106	1,555	13088	SH	DEFINED	01
PFIZER INC	717081103	7,394	406494	SH	DEFINED	01
PHILIP MORRIS INTL INC	718172109	1,741	36143	SH	DEFINED	01
PLUG POWER INC	72919P103	27	38902	SH	DEFINED	01
PLUM CREEK TIMBER CO INC	729251108	856	22000	SH	DEFINED	01
POWERSHARES QQQ TRUST	73935A104	72,097	1575905	SH	DEFINED	01
POWERSHARES INDIA ETF TR	73935L100	366	16614	SH	DEFINED	01
PRAXAIR INC	74005P104	259	3134	SH	DEFINED	02
PRECISION CASTPARTS CORP	740189105	230	1820	SH	DEFINED	02
PROSHARES TR II	74347W858	2,147	100000	SH	DEFINED	02
PRUDENTIAL FINL INC	744320102	1,447	29084	SH	DEFINED	01
QUANTUM FUEL SYS TECH WORLDW	74765E109	108	97811	SH	DEFINED	01
QWEST COMMUNICATIONS INTL IN	749121109	43	10340	SH	DEFINED	01
RASER TECHNOLOGIES INC	754055101	166	133929	SH	DEFINED	01
ORAYONIER INC	754907103	1,072	23600	SH	DEFINED	01
REGIONS FINANCIAL CORP NEW	7591EP100	807	152667	SH	DEFINED	01
RENESOLA LTD	75971T103	95	20000	SH	DEFINED	01
RESEARCH IN MOTION LTD	760975102	4,035	54100	SH	DEFINED	02
RETAIL HOLDRS TR	76127U101	3,889	41450	SH	DEFINED	01
ROWAN COS INC	779382100	313	10931	SH	DEFINED	02
SLM CORP	78442P106	1,637	145275	SH	DEFINED	01
SPDR TR	78462F103	56,865	510275	SH	DEFINED	01
SPDR GOLD TRUST	78463V107	92,887	865599	SH	DEFINED	01
ST JUDE MED INC	790849103	4,024	109418	SH	DEFINED	01
SANDERSON FARMS INC	800013104	1,154	21534	SH	DEFINED	02
SARA LEE CORP	803111103	487	40000	SH	DEFINED	01
SCHLUMBERGER LTD	806857108	227	3593	SH	DEFINED	02
SCHWAB CHARLES CORP NEW	808513105	230	12390	SH	DEFINED	02
SCOTTS MIRACLE GRO CO	810186106	361	9190	SH	DEFINED	01
SELECT SECTOR SPDR TR	81369Y100	385	11700	SH	DEFINED	01
SELECT SECTOR SPDR TR	81369Y209	271	8435	SH	DEFINED	02
SEMICONDUCTOR HLDERS TR	816636203	9,282	332450	SH	DEFINED	01
SEMTECH CORP	816850101	453	26000	SH	DEFINED	02
SILGAN HOLDINGS INC	827048109	1,447	25000	SH	DEFINED	01
SIRONA DENTAL SYSTEMS INC	82966C103	277	7300	SH	DEFINED	02
SOLARFUN POWER HOLDINGS CO L	83415U108	152	20000	SH	DEFINED	01
SOLUTIA INC	834376501	2,695	167289	SH	DEFINED	01
SOLUTIA INC	834376501	213	13222	SH	DEFINED	01
SPRINT NEXTEL CORP	852061100	281	72600	SH	DEFINED	02
STAPLES INC	855030102	5,065	205995	SH	DEFINED	01
SUN MICROSYSTEMS INC	866810203	4,169	445000	SH	DEFINED	01
SUNCOR ENERGY INC NEW	867224107	860	24365	SH	DEFINED	01
SUPERMEDIA INC	868447103	122	3003	SH	DEFINED	01
SYMYX TECHNOLOGIES	87155S108	88	16025	SH	DEFINED	01
SYNOVUS FINL CORP	87161C105	563	171300	SH	DEFINED	02
TAIWAN SEMICONDUCTOR MFG LTD	874039100	3,151	301700	SH	DEFINED	02
TAKE-TWO INTERACTIVE SOFTWARE	874054109	6,332	630091	SH	DEFINED	01
TIFFANY & CO NEW	886547108	302	6380	SH	DEFINED	02
TYSON FOODS INC	902494103	1,380	72037	SH	DEFINED	02
UAL CORP	902549807	129	10000	SH	DEFINED	04
US BANCORP DEL	902973304	980	43569	SH	DEFINED	01
UQM TECHNOLOGIES INC	903213106	184	26900	SH	DEFINED	01
UNITED PARCEL SERVICE INC	911312106	1,482	23100	SH	DEFINED	02
UNITED STATES STL CORP NEW	912909108	16,010	290470	SH	DEFINED	01

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5		COLUMN 6	COLUMN 7
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAG
UNITEDHEALTH GROUP INC		91324P102	44,257	1452014	SH	DEFINED	01
URBAN OUTFITTERS INC		917047102	2,037	53600	SH	DEFINED	02
VCA ANTECH INC		918194101	1,060	37832	SH	DEFINED	02
VALERO ENERGY CORP NEW		91913Y100	1,736	103688	SH	DEFINED	01
VALERO ENERGY CORP NEW		91913Y100	3,594	183164	SH	DEFINED	02
VEOLIA ENVIRONNEMENT		92334N103	685	20834	SH	DEFINED	01
VERIFONE HLDGS INC		92342Y109	681	33700	SH	DEFINED	02
VISA INC		92826C839	207,678	2374554	SH	DEFINED	01
OWELLPOINT INC		94973V107	6,547	112328	SH	DEFINED	01
WELLPOINT INC		94973V107	358	5547	SH	DEFINED	02
WELLS FARGO & CO NEW		949746101	3,072	113825	SH	DEFINED	01
WELLS FARGO & CO NEW		949746101	309	10000	SH	DEFINED	02
ZOLTEK COS INC		98975W104	303	31924	SH	DEFINED	01