

WILLIAMS DAVID B  
Form 5/A  
September 10, 2018

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
WILLIAMS DAVID B

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
02/14/2018

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/14/2017	Â	G	3,972 A \$0	5,126	I	Spouse's proportionate partnership interest shares held by AMR Associates LP
Class A Common	Â	Â	Â	Â Â Â	49,811	I	Spouse's proportionate

Stock										interests in shares held by Rankin Associates I. <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	12,058	I		Spouse's proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	32,369	I		Spouse's proportionate interests in shares held by Rankin Associates IV. <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I		Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	80,066	I		Held by trust for the benefit of Reporting Person's Spouse <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,162	D		Â
Class A Common Stock	Â	Â	Â	Â	Â	Â	6,899	I		proportionate LP interest in shares held by RA II, L.P
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I		Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	279	I		Child's proportionate partnership

Class A Common Stock	Â	Â	Â	Â	Â	Â	9,740	I	interest shares held by AMR Associates LP  Minor child's trust?s proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,983	I	Reporting Person is Trustee of a Trust for the benefit of Reporting Person's minor child <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	279	I	Child's proportionate partnership interest shares held by AMR Associates LP
Class A Common Stock	Â	Â	Â	Â	Â	Â	8,365	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II. <u>(1)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	130	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common	Â	Â	Â	Â	Â	Â	4,357	I	Reporting Person is

Stock

Trustee of a Trust for the benefit of Reporting Person's minor child <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
					(A)	(D)	Date Exercisable	Expiration Date		
Class B Common Stock	Â	12/14/2017	Â	G	4,628	Â	Â (2)	Â (2)	Class A Common Stock	4,628
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	89,105
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	12,058
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	51,283

Edgar Filing: WILLIAMS DAVID B - Form 5/A

Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	58,586
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	2,332
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	6,899
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	326
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	9,740
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	2,152
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	326
Class B Common Stock	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	8,365

Class B Common Stock	Â	Â	Â	Â	Â	Â	Â	Â (2)	Â (2)	Class A Common Stock	3,528
----------------------------	---	---	---	---	---	---	---	-------	-------	----------------------------	-------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WILLIAMS DAVID B 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	Â	Â	Â	Member of a Group

## Signatures

/s/ Suzanne S. Taylor, attorney-in-fact	09/09/2018
**Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person disclaims beneficial ownership of all such shares.
- (2) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.