

COOPER COMPANIES INC
Form 4
June 07, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KAUFMAN CAROL R

2. Issuer Name and Ticker or Trading Symbol
COOPER COMPANIES INC [COO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
**6140 STONERIDGE MALL
DRIVE, SUITE 590**

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
06/06/2016

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP, Sec. & Chief Gov. Officer

PLEASANTON, CA 94588

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/06/2016		M		10,357	A	\$ 15.83
Common Stock	06/06/2016		M		12,143	A	\$ 42.65
Common Stock	06/06/2016		S		12,143	D	\$ 165.1102 (1)
Common Stock	06/06/2016		S		10,357	D	\$ 164.0354 (2)
	06/07/2016		M		20,857	A	\$ 42.65

Edgar Filing: COOPER COMPANIES INC - Form 4

Common Stock							
Common Stock	06/07/2016		M	6,143	A	\$ 15.83	68,693 D
Common Stock	06/07/2016		S	10,000	D	\$ 162.3349 (3)	58,693 D
Common Stock	06/07/2016		S	17,000	D	\$ 163.9178 (4)	41,693 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 15.83	06/06/2016		M	10,357	(5) 10/29/2018	Common Stock	10,357	
Stock Options (Right to Buy)	\$ 42.65	06/06/2016		M	12,143	(6) 10/24/2017	Common Stock	12,143	
Stock Options (Right to Buy)	\$ 42.65	06/07/2016		M	20,857	(6) 10/24/2017	Common Stock	20,857	
Stock Options (Right to Buy)	\$ 15.83	06/07/2016		M	6,143	(5) 10/29/2018	Common Stock	6,143	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KAUFMAN CAROL R 6140 STONERIDGE MALL DRIVE SUITE 590 PLEASANTON, CA 94588			EVP, Sec. & Chief Gov. Officer	

Signatures

/s/ Carol R.
Kaufman

06/07/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Sale price represents an average sale price between \$165.00 and \$165.32.
- (2) Sale price represents an average sale price between \$164.00 and \$164.21.
- (3) Sale price represents an average sale price between \$162.21 and \$163.08.
- (4) Sale price represents an average sale price between \$163.80 and \$164.00.

Grant Date 10/30/08 NQ Date Exer.: One-fourth shall vest on the earlier of (a) 10/30/09 or (b) when the average closing price reaches

- (5) \$17.73; one-fourth shall vest on the earlier of (a) 10/30/10 or (b) when the average closing price reaches \$19.00; one-fourth shall vest on the earlier of (a) 10/30/11 or (b) when the average closing price reaches \$20.58; one-fourth shall vest on the earlier of (a) 10/30/12 or (b) when the average closing price reaches \$22.16.

- (6) All shares granted under this option are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.