**BALL CORP** Form 4 March 20, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

**OMB** Number:

3235-0287

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January 31, 2005

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(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Barker Shawn M

(First) (Middle)

10 LONGS PEAK DRIVE

(Street)

2. Issuer Name and Ticker or Trading Symbol

### BALL CORP [BLL]

3. Date of Earliest Transaction

(Month/Day/Year) 03/18/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

Vice President & Controller

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

#### **BROOMFIELD, CO 80021**

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/18/2015		Code V $M_{\underline{(1)}}$	Amount 1,500	(D)	Price \$ 25.055	11,610.3294	D	
Common Stock	03/18/2015		D(2)	840	D	\$ 70.95	10,770.3294	D	
Common Stock	03/18/2015		M <u>(1)</u>	2,500	A	\$ 20.04	13,270.3294	D	
Common Stock	03/18/2015		D(2)	1,280	D	\$ 70.95	11,990.3294	D	
Common Stock	03/18/2015		M(1)	9,400	A	\$ 25.225	21,390.3294	D	

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Common Stock	03/18/2015	D(2)	5,810	D	\$ 70.95	15,580.3294	D	
Common Stock	03/18/2015	M(3)	2,625	A	\$ 25.055	18,205.3294	D	
Common Stock	03/18/2015	F	927	D	\$ 70.95	17,278.3294	D	
Common Stock	03/18/2015	M(3)	6,500	A	\$ 20.04	23,778.3294	D	
Common Stock	03/18/2015	F	1,836	D	\$ 70.95	21,942.3294	D	
Common Stock	03/18/2015	M(3)	600	A	\$ 25.225	22,542.3294	D	
Common Stock	03/18/2015	F	214	D	\$ 70.95	22,328.3294	D	
Common Stock						1,011.468	I	401(k) Plan (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Stock Appreciation Rights (sars)	\$ 25.055	03/18/2015		M <u>(1)</u>	1,500	04/23/2009	04/23/2018	Common Stock	1,50
Stock Appreciation Rights (sars)	\$ 20.04	03/18/2015		M <u>(1)</u>	2,500	01/28/2010	01/28/2019	Common Stock	2,50
	\$ 25.225	03/18/2015		$M_{\underline{(1)}}$	9,400	01/27/2011	01/27/2020		9,40

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Stock Appreciation Rights (sars)							Common Stock	
Stock Option (iso) (Right to Buy)	\$ 25.055	03/18/2015	M(3)	2,625	04/23/2009	04/23/2018	Common Stock	2,62
Stock Option (iso) (Right to Buy)	\$ 20.04	03/18/2015	M(3)	6,500	01/28/2010	01/28/2019	Common Stock	6,50
Stock Option (iso) (Right to Buy)	\$ 25.225	03/18/2015	M(3)	600	01/27/2011	01/27/2020	Common Stock	600

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Barker Shawn M 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021

Vice President & Controller

# **Signatures**

/s/ Robert W. McClelland, attorney-in-fact for Mr.

Barker

03/20/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Stock Appreciation Rights (SARS).
- (2) Shares utilized to pay for the cost of the SARS.
- (3) Exercise of Incentive Stock Options (ISO).
- (4) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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