Duesenberg Mark Hugo Form 4 February 25, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

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January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Duesenberg Mark Hugo Issuer Symbol FERRO CORP [FOE] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 6060 PARKLAND BOULEVARD 02/21/2013 below) General Counsel (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

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(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D)			5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial	
(msu. 3)		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	(A) or	5) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	02/25/2013		F	2,972	D	\$ 5.58	15,093 (1)	D	
Common Stock - Restricted Shares							10,000 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Duesenberg Mark Hugo - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Stock Options (Right to Buy)	\$ 5.29	02/21/2013		A	57,300	02/21/2014	02/21/2023	Common Stock	5
Restricted Share Unit	\$ 0	02/21/2013		A	27,300	02/23/2016	02/23/2016	Common Stock	2
Performance Share Unit	\$ 0	02/21/2013		A	68,300	(2)	12/31/2015	Common Stock	6
Performance Share Unit	\$ 0					(2)	12/31/2014	Common Stock	4
Phantom Shares	(3)					<u>(3)</u>	<u>(3)</u>	Common Stock	14,1
Restricted Share Unit	\$ 0					02/23/2015	02/23/2015	Common Stock	1
Stock Options (Right to Buy)	\$ 21.28					09/17/2009	09/17/2018	Common Stock	2.
Stock Options (Right to Buy)	\$ 8.25					02/25/2011	02/25/2020	Common Stock	3.
Stock Options (Right to Buy)	\$ 1.37					02/25/2010	02/25/2019	Common Stock	3.
Stock Options (Right to Buy)	\$ 15.16					02/24/2012	02/24/2021	Common Stock	3
Stock Options (Right to	\$ 6.84					02/23/2013	02/23/2022	Common Stock	3

Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Duesenberg Mark Hugo
6060 PARKLAND BOULEVARD
MAYFIELD HEIGHTS, OH 44124
General
Counsel

# **Signatures**

/s/ John T. Bingle, Treasurer, by Power of Attorney 02/25/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Balance includes vesting of restricted shares net of forfeiture for tax liability.
- Performance Share Units granted as a performance award, vesting based upon degree of acheivement of performance goal. At the end of
- (2) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- (3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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